



## THE HITRAN MOLECULAR SPECTROSCOPIC DATABASE AND HAWKS (HITRAN ATMOSPHERIC WORKSTATION): 1996 EDITION

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**Abstract**—Since its first publication in 1973, the HITRAN molecular spectroscopic database has been recognized as the international standard for providing the necessary fundamental spectroscopic parameters for diverse atmospheric and laboratory transmission and radiance calculations. There have been periodic editions of HITRAN over the past decades as the database has been expanded and improved with respect to the molecular species and spectral range covered, the number of parameters included, and the accuracy of this information. The 1996 edition not only includes the customary line-by-line transition parameters familiar to HITRAN users, but also cross-section data, aerosol indices of refraction, software to filter and manipulate the data, and documentation. This paper describes the data and features that have been added or replaced since the previous edition of HITRAN. We also cite instances of critical data that are forthcoming. Published by Elsevier Science Ltd.

### 1. INTRODUCTION

A new edition of the HITRAN (**H**igh-resolution **T**RANsmiSSion) database was released on CD-ROM in 1996. This line-by-line compilation of spectroscopic parameters is a widely recognized international standard. It is used for a vast array of applications such as terrestrial atmospheric remote sensing, transmission simulations, fundamental laboratory spectroscopy studies, industrial process monitoring, pollution regulatory studies, etc. HITRAN is now a component of a larger set of spectroscopic data and software called HAWKS (**H**ITRAN **A**tmospheric **W**orkstation). The goal of HITRAN and HAWKS is to provide a functional and flexible set of software and data in order to accurately model the simulation of transmission and radiance from the microwave through ultra-violet spectral regions. Besides an updated HITRAN high-resolution molecular database of about one million transitions, there are files of aerosol indices of refraction, UV line-by-line and cross-section parameters, supplemental files of gases that have undergone less validation or whose parameters require new definitions, such as ionic species, and extensive IR cross-sections now at different pressures and temperatures. In addition, the compilation contains a moderate-resolution band-model code, MODTRAN3. There is also vastly improved software handling of the data in

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both WINDOWS and UNIX platforms, such as more sophisticated selection filters, plotting capabilities, pointers to significant references, and documentation.

In Sec. 2 of this paper we present a discussion of positions, intensities, widths, temperature-dependence, and pressure-shifts (if nonzero) of all new data since the 1992 (previous) edition of the HITRAN database.<sup>1</sup> (All earlier work and related subjects are cited therein.) Reported in Sec. 2 are updates to the contents, their accuracy, and limitations of the data. The sub-sections are given sequentially according to the molecular species in HITRAN and have been organized by region (increasing frequency) if there is more than one band that has been updated or added. We also mention each species even if no changes have been made since the last edition, and, since the progress in spectroscopy has been proceeding at a rapid rate, we occasionally mention significant improvements or enhancements expected in the near future. This section is the prime focus of this paper.

Section 3 presents a discussion of the infrared cross-section files that are new to this edition of the compilation. As with the discussion of some of the line-by-line data in Sec. 2, separate articles alleviate us of providing all of the details. In Sec. 4, the ultraviolet data on the compilation are presented. The UV data comprise a line-by-line section (the Schumann–Runge bands) and UV cross-sections. These data are a new extension to HITRAN and are formatted in the equivalent way as the standard HITRAN line-by-line and cross-sections portions. Sec. 5 describes the files of indices of refraction that are placed on the compilation for the first time. In Sec. 6 is a discussion of spectroscopic features such as line-coupling that have special significance in atmospheric remote sensing, but have not been part of the HITRAN database suite; formalisms are proposed that lend themselves to parametrization in order to assist the modeling codes. Finally in the last section, we report on some of the software and features that are now available on this compilation. The software includes useful data and computational aids that are companions and addendums to the HITRAN database, such as partition sum generation, temperature conversion of parameters, molecular degeneracy factors, and the standard isotopic abundances and weights used on the compilation.

The HITRAN database has now evolved for over three decades. In its first incarnation it was made available to the public on large magnetic tape (drawers and drawers of cards to the contributors). The spectral range, number of molecular species and their isotopomers, and comprehensiveness of the parameters have steadily increased. The 1986 edition<sup>2</sup> saw the format for the line-by-line (HITRAN) portion expanded to 100 characters per transition. In 1992, the medium used to distribute the database became CD-ROM. The current edition is also on CD-ROM and has ancillary data types and files; updates and corrections are now available on the world wide web (<http://www.HITRAN.com>). The general file structure of the compilation is given in Table 1. The data files are in ASCII and can be accessed by a variety of operating systems, although most of the

Table 1. Primary file structure of the HAWKS compilation

DIRECTORY	SUB-DIRECTORIES	MEGABYTES
SOFTWARE	Generic Programs and Tables	0.7
SOFTWARE	MS WINDOWS	1.8
SOFTWARE	UNIX	4.3
HITRAN		101.9
HITRAN	BY_MOLEC	101.9
Supplemental LBL		19.4
IR Cross-Sections		18
UV	Line-by-Line	1.1
UV	Cross-Sections	2.5
Indices of Refraction		0.2
Documentation		21.3
Models	MODTRAN	22.8
	Total =	295.9

value-added software has been made for the Microsoft WINDOWS and UNIX operating systems on the HAWKS CD-ROM.

There has been some misunderstanding prevalent in the community concerning the units and definitions employed in the HITRAN database. We include in this paper an appendix that summarizes the definitions and usage associated with the compilation.

## 2. DISCRETE MOLECULAR TRANSITIONS: THE HITRAN DATABASE

The line-by-line portion of the compilation, HITRAN, now contains transitions for 37 molecular species. Table 2 presents a summary of the species that are contained on the compilation. The numbering of the species is simply by chronological entry into the HITRAN database, the last six entries being new species added since the last edition. Furthermore, the final two species,  $\text{NO}^+$  and  $\text{HOBr}$ , reside in the supplemental directory, not in the main HITRAN database. It should also be noted that one of the new species is in fact an atom (oxygen). The table lists the number of isotopic variants (isotopomers) per molecule represented in HITRAN, as well as the number of vibration-rotation or ro-vibronic bands. One also notices that certain "heavy" molecules, such as ozone and nitric acid, have a very large number of transitions; this occurs as new bands or more extensive coverage of bands are achieved on new editions. On the other hand, species like water vapor and carbon dioxide remain rather constant in terms of the number of transitions, even though there may be considerable improvement in the quality of the individual parameters.

Table 2. Summary of species represented in HITRAN

Mol. no.	Molecule	Number of isotopomers	Number of bands	Number of lines	Spectral coverage ( $\text{cm}^{-1}$ )
1	$\text{H}_2\text{O}$	4	137	49 444	0-22 657
2	$\text{CO}_2$	8	589	60 802	442-9649
3	$\text{O}_3$	5	106	275 133	0-4033
4	$\text{N}_2\text{O}$	5	164	26 174	0-5132
5	$\text{CO}$	6	47	4 477	3-6418
6	$\text{CH}_4$	3	51	48 032	0-6185
7	$\text{O}_2$	3	19	6 292	0-15 928
8	$\text{NO}$	3	50	15 331	0-3967
9	$\text{SO}_2$	2	9	38 853	0-4093
10	$\text{NO}_2$	1	12	100 680	0-2939
11	$\text{NH}_3$	2	40	11 152	0-5295
12	$\text{HNO}_3$	1	13	165 426	0-1770
13	$\text{OH}$	3	103	8 676	0-9997
14	$\text{HF}$	1	6	107	41-11 536
15	$\text{HCl}$	2	17	533	20-13 458
16	$\text{HBr}$	2	16	576	16-9759
17	$\text{HI}$	1	9	237	12-8488
18	$\text{ClO}$	2	12	7 230	0-1208
19	$\text{OCS}$	4	7	858	0-2089
20	$\text{H}_2\text{CO}$	3	10	2 702	0-2999
21	$\text{HOCl}$	2	6	15 565	0-3800
22	$\text{N}_2$	1	1	120	1922-2626
23	$\text{HCN}$	3	8	772	2-3422
24	$\text{CH}_3\text{Cl}$	2	8	9 355	679-3173
25	$\text{H}_2\text{O}_2$	1	2	5 444	0-1500
26	$\text{C}_2\text{H}_2$	2	11	1 668	604-3375
27	$\text{C}_2\text{H}_6$	1	2	4 749	720-3001
28	$\text{PH}_3$	1	2	2 886	708-1411
29	$\text{COF}_2$	1	7	54 866	725-1982
30	$\text{SF}_6$	1	1	11 520	940-953
31	$\text{H}_2\text{S}$	3	15	7 151	2-2892
32	$\text{HCOOH}$	1	1	3 388	1060-1162
33	$\text{HO}_2$	1	4	26 963	0-3676
34	$\text{O}$	1	1	2	68-159
35	$\text{ClONO}_2$	2	3	32 199	763-798
36	$\text{NO}^+$	1	6	1 206	1634-2531
37	$\text{HOBr}$	2	2	4 358	0-316

The enhancements to the new compilation have been particularly focused on improving the capabilities for atmospheric remote sensing. Parameters for molecular transitions that will be needed for remote observations from space-borne missions such as the Earth Observing System<sup>3</sup> (EOS) of NASA and ground-based measurements as exemplified by the Atmospheric Radiation Measurement (ARM) program<sup>4</sup> of the DOE have been a high priority of the recent development of the compilation.

Before entering into the discussion of the improvements, changes, and enhancements of each molecular species, it is prudent to repeat some caveats: (1) The HITRAN database was originally designed for simulations and analysis of observations in the terrestrial atmosphere. Consequently transitions and bands which are significant at higher temperatures may be missing or poorly simulated because of extrapolations outside the range of conditions used in the original laboratory experiments. For some applications and conditions (e.g. biomass fires, plumes from industrial chimneys, cool stars, combustion, etc) it may be preferable to use the HITEMP<sup>5</sup> database which is dedicated to such special problems. (2) Since the intensities have been standardized to room temperature (296 K), there exist very small exponents for some intensities (e.g. OH and NO high-vibrational sequence bands). Codes using HITRAN as input should take this into account. (3) There is a duplication of cross-sections and some line-by-line bands (for example for SF<sub>6</sub> and ClONO<sub>2</sub>). Again, the user has to exercise discretion. (4) There is an overlap of ozone parameters and data in the ozone contained in the supplemental directory (non-LTE ozone calculations). The ozone parameters in the supplemental directory have not been validated. (5) As mentioned in previous articles on HITRAN editions, for some lines (for water vapor and methane) where there were incomplete quantum identification of states involved in the transition, a lower state energy was not determined. We have used negative unity (− 1.0) in the lower state energy field to flag these lines, which nonetheless can be useful for many applications. (6) The parameter for the weighted transition-moment squared has in a few cases not been calculated, and is in error for the <sup>2</sup>Π molecules (NO, OH, ClO).

As a guide to the discussion, we present a “slice” of HITRAN in Table 3 to illustrate the definition of the fields for the parameters. A more complete discussion is given in the Appendix. Table 4 displays the scheme employed to characterize the “local” quanta (primarily the unique labeling of rotational levels of the upper and lower states of the transition in HITRAN).

### 2.1. H<sub>2</sub>O (molecule 1)

The rotational transitions for HDO have been updated since the 1992 release, which only covered the region from 0 to 100 cm<sup>−1</sup>. The current positions and intensities are derived from the JPL submillimeter wave catalogue<sup>6</sup> and extend to 764 cm<sup>−1</sup>. Considerable uncertainty exists in the positions and strengths for the rotational transitions of the H<sub>2</sub><sup>16</sup>O, H<sub>2</sub><sup>18</sup>O, and H<sub>2</sub><sup>17</sup>O (AFGL abbreviated code 161, 181, and 171) isotopomers of H<sub>2</sub>O, especially at higher values of *J* and *K*. Examples of the magnitude of the uncertainties for these transitions are contained in Rinsland et al<sup>7</sup> where strengths and positions of many pure rotational transitions near 1000 cm<sup>−1</sup> are measured to have significantly different positions than those currently contained in HITRAN, and the strengths differ by as much as 30%. More recent laboratory measurements of high *J* and *K* rotational transitions are now included in HITRAN (described below). The positions for the rotational transitions can also be recalculated using the energy levels calculated by Toth<sup>8,9</sup> which have been shown to agree satisfactorily with the positions observed in atmospheric emission spectra.<sup>10</sup> More measurements of the absolute strengths, along with calculations such as those of Coudert<sup>11</sup> are needed to reduce the uncertainties for these atmospherically important transitions.

Line positions were updated for 549 H<sub>2</sub>O lines in the 720–1400 cm<sup>−1</sup> region. This update was based on experimental observations<sup>12</sup> made with the Michelson interferometer (0.009 cm<sup>−1</sup> resolution) at the AF Geophysics Directorate of a 20 torr sample of H<sub>2</sub>O heated to 1000 K in a high temperature absorption cell. Line positions were measured with an accuracy of approximately 0.0004 cm<sup>−1</sup>. The wavenumber scale of the spectrometer was calibrated using residual H<sub>2</sub>O lines present in the spectrum of the evacuated absorption cell. A direct numerical diagonalization (DND) calculation<sup>13</sup> was used to calculate a starting line list to help in identifying the observed spectral lines. The DND calculation used a 47-parameter potential surface with up to sextic powers in

Table 3. Example of HITRAN line-transition format.

Mol/Iso	$\nu_{\text{ref}}$	$S_{\text{ref}}$	$\mathfrak{R}_{\text{ref}}$	$\gamma_{\text{air}}$	$\gamma_{\text{self}}$	$E''$	$n$	$\delta$	$i\nu'$	$i\nu''$	$q'$	$q''$	$i_{\text{err}}$	$i_{\text{ref}}$
21	800.451076	3.197E-26	6.579E-05	0.0676	0.0818	2481.5624	0.78	0.000000	14	6		P 37	465	2 2 1
291	800.454690	9.724E-22	1.896E-02	0.0845	0.1750	369.6303	0.94	0.000000	9	1	341619	331519	000	4 4 1
291	800.454690	3.242E-22	2.107E-03	0.0845	0.1750	369.6303	0.94	0.000000	9	1	341519	331419	000	4 4 1
121	800.455380	1.037E-22	1.657E-03	0.1100	0.0000	530.3300	0.75	0.000000	32	14	46 640	45 540	000	4 4 1
121	800.455380	1.037E-22	1.657E-03	0.1100	0.0000	530.3300	0.75	0.000000	32	14	46 740	45 640	000	4 4 1
101	800.456743	1.680E-23	1.659E-04	0.0670	0.0000	851.0494	0.50	0.000000	2	1	45 244 0-	44 143 0-	301	6 6 1
101	800.457045	1.710E-23	1.689E-04	0.0670	0.0000	851.0469	0.50	0.000000	2	1	45 244 1-	44 143 1-	301	6 6 1
101	800.457310	1.740E-23	1.718E-04	0.0670	0.0000	851.0442	0.50	0.000000	2	1	45 244 2-	44 143 2-	301	6 6 1
121	800.457760	4.726E-23	4.614E-03	0.1100	0.0000	920.0900	0.75	0.000000	32	14	502922	492822	000	4 4 1
121	800.457760	4.726E-23	4.614E-03	0.1100	0.0000	920.0900	0.75	0.000000	32	14	502822	492722	000	4 4 1
24	800.465942	9.792E-27	6.063E-04	0.0754	0.1043	1341.2052	0.69	0.000000	8	3		R 13	425	2 2 1
121	800.466160	1.061E-22	2.720E-03	0.1100	0.0000	632.1200	0.75	0.000000	32	14	471236	461136	000	4 4 1
121	800.466160	1.061E-22	2.720E-03	0.1100	0.0000	632.1200	0.75	0.000000	32	14	471136	461036	000	4 4 1
35	800.472900	3.878E-26	6.919E-04	0.0686	0.0871	629.0354	0.76	0.000000	2	1	1814 4	1713 5	455	5 5 1
101	800.473083	1.270E-23	1.254E-04	0.0670	0.0000	851.0095	0.50	0.000000	2	1	45 244 0 +	44 143 0 +	301	6 6 1
101	800.474860	1.210E-23	1.195E-04	0.0670	0.0000	851.0064	0.50	0.000000	2	1	45 244 -1 +	44 143 -1 +	301	6 6 1
31	800.475500	1.680E-24	3.617E-05	0.0653	0.0890	1092.4340	0.76	0.000000	2	1	51 547	50 248	002	1 1 2
291	800.476220	9.597E-22	6.010E-03	0.0845	0.1750	361.9747	0.94	0.000000	9	1	341420	331320	000	4 4 1
291	800.476220	3.199E-22	6.010E-03	0.0845	0.1750	361.9747	0.94	0.000000	9	1	341520	331420	000	4 4 1
101	800.476937	1.160E-23	1.145E-04	0.0670	0.0000	851.0037	0.50	0.000000	2	1	45 244 -2 +	44 143 -2 +	301	6 6 1
101	800.484334	1.740E-23	2.153E-05	0.0670	0.0000	106.0760	0.50	0.000000	2	1	8 4 4 -1 +	9 3 7 -1 +	301	6 6 1

Note: FORTRAN Format (I2,I1,F12.6,IP2E10.3,OP2F5.4,F10.4,F4.2,F8.6,Z13.2A9,3I1,3I2) corresponding to the following:

- Mol I2 molecule number  
 Iso I1 isotope number (1 = most abundant, 2 = second most abundant, etc.)  
 $\nu_{\text{ref}}$  F12.6 frequency in  $\text{cm}^{-1}$   
 $S_{\text{ref}}$  E10.3 intensity in  $\text{cm}^{-1}/(\text{molecule} \cdot \text{cm}^{-2})$  @ 296 K  
 $\mathfrak{R}_{\text{ref}}$  E10.3 weighted transition moment-squared in Debye<sup>2</sup>  
 $\gamma_{\text{air}}$  F5.4 air-broadened halfwidth (HWHM) in  $\text{cm}^{-1}/\text{atm}$  @ 296 K  
 $\gamma_{\text{self}}$  F5.4 self-broadened halfwidth (HWHM) in  $\text{cm}^{-1}/\text{atm}$  @ 296 K  
 $E''$  F10.4 lower state energy in  $\text{cm}^{-1}$   
 $n$  F4.2 coefficient of temperature dependence of air-broadened halfwidth  
 $\delta$  F8.6 airbroadened pressure shift of line transition in  $\text{cm}^{-1}/\text{atm}$  @ 296 K  
 $i\nu', i\nu''$  I3 upper state global quanta index, lower state global quanta index  
 $q', q''$  2A9 upper state local quanta, lower state local quanta  
 $i_{\text{err}}$  3I1 accuracy indices for frequency, intensity, and air-broadened halfwidth  
 $i_{\text{ref}}$  3I2 indices for table of references corresponding to frequency, intensity, and halfwidth

Table 4. Formats (in FORTRAN) for the six classes of local quanta identification.

Group 1: Asymmetric rotors	
H <sub>2</sub> O, O <sub>3</sub> , SO <sub>2</sub> , NO <sub>2</sub> , <sup>†</sup> HNO <sub>3</sub> , H <sub>2</sub> CO, HOCl, H <sub>2</sub> O <sub>2</sub> , COF <sub>2</sub> , H <sub>2</sub> S, HO <sub>2</sub> , HCOOH, ClONO <sub>2</sub> , HOBr	
<i>J</i> , <i>K</i> ' <sub>a</sub> , <i>K</i> ' <sub>c</sub> , <i>F</i> ', Sym'	<i>J</i> '', <i>K</i> '', <i>K</i> '', <i>F</i> '', Sym''
I2, I2, I2, I2, A1	I2, I2, I2, I2, A1
Group 2: Diatomic and linear molecules with integer <i>J</i>	
CO <sub>2</sub> , N <sub>2</sub> O, CO, HF, HCl, HBr, HI, OCS, N <sub>2</sub> , HCN, C <sub>2</sub> H <sub>2</sub> , NO <sup>+</sup>	
—, Br, <i>F</i> '', —	—, Br, <i>J</i> '', Sym''
5X, A1, I2, 1X	4X, A1, I3, A1
Group 3: Spherical rotors	
CH <sub>4</sub> (not CH <sub>3</sub> D)	
<i>J</i> ', <i>R</i> ', <i>C</i> ', <i>N</i> ', Sym'	<i>J</i> '', <i>R</i> '', <i>C</i> '', <i>N</i> '', Sym''
I2, I2, A2, I2, A1	I2, I2, A2, I2, A1
Group 4: Symmetric rotors	
CH <sub>3</sub> D, NH <sub>3</sub> , CH <sub>3</sub> Cl, C <sub>2</sub> H <sub>6</sub> , PH <sub>3</sub> , SF <sub>6</sub>	
<i>J</i> ', <i>K</i> ', <i>C</i> ', —, Sym'	<i>J</i> '', <i>K</i> '', <i>C</i> '', —, Sym''
I2, I2, A2, 2X, A1	I2, I2, A2, 2X, A1
Group 5: Triplet ground electronic states	
O <sub>2</sub>	
—, Br, <i>F</i> '', —3	Br, <i>N</i> '', Br, <i>J</i> '', —, Sym''
X, A1, F4.1, 1X	A1, I2, A1, I2, 2X, A1
Group 6: Doublet ground electronic states (half-integer <i>J</i> )	
NO <sup>††</sup> , OH, ClO	
—, Br, <i>F</i> '', —5	—, Br, <i>J</i> '', Sym''
X, A1, I2, 1X	3X, A1, F4.1, A1

Notes: Prime and double primes refer to upper and lower states, respectively; Br is the O-, P-, Q-, R-, or S-branch symbol; *J* is the rotational quantum number; Sym is e or f for l-type doubling, + or - for required symmetry symbols.

<sup>†</sup>For NO<sub>2</sub>, *F*-*J* was used instead of *F*.

<sup>††</sup>For NO, *F* is half-integer and both *F*' and *F*'' have been given for some rovibration bands.

internal coordinates. The observed line positions were not used directly to update the HITRAN H<sub>2</sub>O line positions. Instead, they were first combined with observed transitions from other studies to form a self-consistent set of line positions. These additional lines were microwave spectral transitions measured by Pearson et al<sup>14</sup> and lines calculated from energy levels reported by Toth.<sup>8</sup>

When the updated H<sub>2</sub>O line positions were compared with the line positions on the HITRAN92 database,<sup>1</sup> discrepancies of up to 0.8 cm<sup>-1</sup> were found for some transitions. These large discrepancies presumably arose from the inclusion of data from misidentified H<sub>2</sub>O lines on the HITRAN92 database. There were 8 lines where the difference between the observed line position and the HITRAN92 value was greater than 0.1 cm<sup>-1</sup> and 49 lines where the difference was greater than 0.01 cm<sup>-1</sup>.

The data for the air-broadened,  $\gamma_{\text{air}}$ , and self-broadened,  $\gamma_{\text{self}}$ , halfwidths and the temperature dependence, *n*, of the air-broadened halfwidth on HITRAN are a mixture of experimental and theoretical values. No longer present on the database are air-broadened halfwidths determined from the empirical algorithm<sup>2</sup> used previously. The new algorithm adds halfwidths to water vapor lines following several criteria and was developed as follows. First, a small database of experimentally determined halfwidths<sup>15,16</sup> was compiled. This list consists of 276 air-broadened halfwidths and 261 self-broadened halfwidths. The vibrational dependence of the data was ignored (this dependence is presumed to be a small correction<sup>17</sup>); hence the data are functions of the rotational transition quantum numbers only. Where duplicate values occur, the experimental data are averaged. This data set corresponds to only a small number of transitions that occur for H<sub>2</sub>O and thus the set has been augmented by theoretical calculations. The data are that of Gamache and Davies<sup>18</sup> and Gamache and Rothman<sup>19</sup> for  $\gamma_{\text{air}}$  and *n*, and that of Gamache and Davies (unpublished data, 1983) for  $\gamma_{\text{self}}$ . In addition, an average of the calculated air- and self-broadened halfwidths as a function of

$J''$  was determined by scaling to match the average of the measured values. The average scaled values are used to determine halfwidths for transitions for which no measurements are made.

The algorithm to add the halfwidths to the database operates as follows: for a given spectral line, the algorithm first attempts to add measured halfwidths,  $\gamma_{\text{air}}$  and  $\gamma_{\text{self}}$ , to the line. If no measurements are available, the algorithm defaults to the theoretical value for the halfwidths. If no theoretical value is available for the particular transition, the algorithm takes the scaled average halfwidth and adds that to the HITRAN line. A similar procedure is followed for the temperature dependence of the halfwidth.

Average values are determined for  $J'' \leq 20$ . For  $J'' > 20$ , default values of  $\gamma_{\text{air}} = 0.018 \text{ cm}^{-1}/\text{atm}$  and  $\gamma_{\text{self}} = 0.12 \text{ cm}^{-1}/\text{atm}$  are used. For the temperature exponent when the measured or calculated exponent does not exist, the default value of  $n = 0.68$  is used.<sup>19</sup>

There are numerous deficiencies that remain with respect to the water vapor parameters. Because of the extreme importance of these parameters in many of the applications of HITRAN, we highlight some of the progress that will soon be forthcoming in improving the parameters. A very serious issue relates to flux anomalies when calculating the earth's radiation budget in the near infrared.<sup>20</sup> W.J. Phillips (Arnold AFB, private communication, 1997) has taken high-resolution spectra of self-broadened water vapor in the 1.5- and 1.9- $\mu\text{m}$  regions. These spectra are being analyzed, and will represent the first update of many of the line positions and intensities in these regions since the first edition of HITRAN (line positions, intensities, and halfwidths prior to the 1986 database<sup>2</sup> are designated with the reference index zero).

The quantum mechanical study of the  $\text{H}_2\text{O}$  bands in the 0.4–0.6  $\mu\text{m}$  region, originated by Camy-Peyret et al.,<sup>21</sup> is still incomplete and a number of partial assignments appear in the HITRAN line list. Recent work by Harder and Brault<sup>22</sup> provides high-resolution cross-sections for the 22 230–22 700  $\text{cm}^{-1}$  region, dominated by the  $4\nu_1 + 3\nu_3$  and  $5\nu_1 + 2\nu_3$  bands, in which individual lines are resolved, and low-resolution cross-sections derived from the high-resolution data are determined.

Finally, R. A. Toth (Jet Propulsion Laboratory, private communication, 1998) has completed an analysis of laboratory spectra of water vapor, isotopes, and hot bands for the 500–2580  $\text{cm}^{-1}$  regions. Updates are in preparation for positions, intensities, air-broadening coefficients, self-broadening coefficients, and pressure-induced shifts. It has also been noticed that the current compilation does not include the improved HDO positions and intensities reported in the literature.<sup>23</sup>

## 2.2. $\text{CO}_2$ (molecule 2)

For each edition of the HITRAN database, there has been a new global least-squares fit of the energy levels of carbon dioxide, giving rise to enhanced accuracy of line positions. The method has been described by Rothman et al.<sup>24</sup> However, for this edition the only new data for  $\text{CO}_2$  line positions since HITRAN92 were the results of M.P. Esplin (Utah State Univ., private communication, 1994) from measured line positions in high-resolution spectra of carbon dioxide, at elevated temperature, in the region from 500 to 1100  $\text{cm}^{-1}$ . Some 43 bands of the  $^{12}\text{C}^{16}\text{O}_2$  principal isotopomer, 42 bands of the  $^{13}\text{C}^{16}\text{O}_2$  isotopomer, and 6 bands of the  $^{16}\text{O}^{13}\text{C}^{18}\text{O}$  isotopomer were identified. These new positions were added to previously available data for the respective isotopomers, and spectroscopic constants re-determined by the global least-squares fitting procedure. The new data have allowed all the fitted levels to be connected to the ground state by observations; there are no longer any "floating" levels for the  $^{13}\text{C}^{16}\text{O}_2$  and the  $^{16}\text{O}^{13}\text{C}^{18}\text{O}$  species, as was the case in HITRAN92.

The updates of the line intensity parameters for  $\text{CO}_2$  on the HITRAN96 spectroscopic database as compared to the HITRAN92 database have resulted from the work of L.P. Giver of the NASA Ames Research Center and represent the only changes in intensities since the previous database. These updates in the 1.2- to 2.5- $\mu\text{m}$  region have a major impact on the interpretation of the Venus dark-side IR emission.<sup>25</sup> The particular bands are described in the next few paragraphs and the experimental conditions are summarized in Table 5. The observations at Kitt Peak were made with the 6-m White cell and Solar Fourier transform spectrometer (FTS) utilizing a resolution of  $0.011 \text{ cm}^{-1}$ , while the spectra obtained with the NASA Ames 25-m White cell and a Bomem

Table 5. Experimental conditions for CO<sub>2</sub> intensity measurements

$\nu_0$ (cm <sup>-1</sup> )	Band	Spectrometer	Pressure (Torr)	Path length (m)	Number of spectra
4006†	00021–01101	Kitt Peak	59.7	193	1
5315†	01121–00001	Kitt Peak	59.7	73,193	2
6503	30011–00001	Kitt Peak	30–80	25–400	7
6538†	11122–00001	Bomem	139–305	400–1300	5
6680†	11121–00001	Bomem	139–305	400–1300	5
7460†	40014–00001	Bomem	10–360	400–1700	22
		Kitt Peak	59.7	193	1
7593	40013–00001	Bomem	10–100	400–1600	6
		Kitt Peak	59.7	193	1
7734	40012–00001	Bomem	10–100	400–1600	6
		Kitt Peak	59.7	193	1
7921†	40011–00001	Bomem	10–360	400–1700	22

† Bands observed for the first time in high resolution.

Note: In column 3, Kitt Peak and Bomem refer to the spectrometer systems cited in the text.

DA3.002 FTS utilized resolutions ranging from 0.024 to 0.045 cm<sup>-1</sup>. The longer total path lengths achievable at NASA Ames were necessary for the measurement of the very weak CO<sub>2</sub> bands, whereas the higher resolution spectra at Kitt Peak were most useful for measuring lines at low pressures in crowded spectral regions.

Preliminary intensity measurements were made on isolated P- and R-branch lines of the 00021–01101 band at 4006 cm<sup>-1</sup>. These preliminary line-intensity measurements were found to be closer to calculated intensities on the 1986 HITRAN listing than the 1992 HITRAN listing, so the band intensity and  $a_1$  (first order) Herman-Wallis parameter from the 1986 HITRAN were adopted for the 1996 HITRAN compilation. The definitions used for the intensity and Herman-Wallis parameters are given in Eqs. (7) and (14) of Ref. 24. Preliminary intensity measurements were also made on isolated P- and R-branch lines of the 01121–00001 band at 5315 cm<sup>-1</sup>. The band intensity and  $a_1$  Herman-Wallis parameter were determined<sup>26</sup> from these line intensities.

Intensities were measured<sup>27</sup> on lines of the 30011–00001 band at 6503 cm<sup>-1</sup>. The band intensity and Herman-Wallis parameters were then determined from the averaged line intensity measurements. The new value for the  $a_2$  Herman-Wallis parameter is negative, in agreement with theoretical expectations. Prior measurements incorporated into the 1992 HITRAN tabulation had determined positive  $a_2$  values for all the bands of the 3001r–00001 tetrad, which conflicted with theoretical calculations. The new  $a_2$  parameter for this band is also in qualitative agreement with the earlier measurements of Toth et al.<sup>28</sup>

Line intensities were measured<sup>29</sup> on the 11112–00001 and 11122–00001 perpendicular bands at 6680 and 6538 cm<sup>-1</sup>. The 11121–00001 band is much cleaner than the 11122–00001 band, which lies in the R branch of the much stronger 30011–00001 band at 6503 cm<sup>-1</sup>. Therefore, it was possible to determine the  $a_1$ ,  $a_2$ , and  $b_2$  Herman-Wallis parameters for the 11121–00001 band, while only  $a_1$  could be determined for the 11122–00001 band.

Intensities were measured<sup>30</sup> on lines of the 40011–00001 and 40014–00001 bands at 7921 and 7460 cm<sup>-1</sup>. The averaged individual line intensity measurements were reduced to band intensities and Herman-Wallis  $a_1$  and  $a_2$  parameters. In addition, using these spectra a few intensities were measured on lines of the 40012–00001 and 40013–00001 bands at 7734 and 7593 cm<sup>-1</sup>. Lines of these bands had been previously measured<sup>31,32</sup>, and our new measurements agreed with the prior published values. We then reduced the published line intensities to band intensities and Herman-Wallis parameters with the identical procedures we used for the 40011–00001 and 40014–00001 bands. This resulted in an intensity for the 40012–00001 band that is 5% larger than the 1992 HITRAN value; this intensity correction is in agreement with the reanalysis<sup>33</sup> by Valero and Boese of their own data.<sup>31</sup> The new intensity value of the 40013–00001 band is only 2% higher than the 1992 HITRAN value. Herman-Wallis  $a_1$  and  $a_2$  parameters were also determined for this band, which replace the values of zero assigned in the 1992 HITRAN table. However, the values for this band are small and the uncertainties are of the same order as the parameters.



The data for the air-broadened halfwidth,  $\gamma_{\text{air}}$ , the self-broadened halfwidth,  $\gamma_{\text{self}}$ , and the temperature dependence of the air-broadened halfwidth,  $n$ , for  $\text{CO}_2$  transitions on HITRAN are discussed in depth in Ref. 24. For  $\gamma_{\text{air}}$ , a table of halfwidths has been derived by third-order polynomial fits to measured data and to scaled theoretical data over particular ranges of  $m$ , where  $m$  is the running index ( $m = -J$  for the P-branch,  $m = J$  for the Q-branch, and  $m = J + 1$  for the R-branch). The resulting data cover the range of  $m = 0$  to 120 and the default value of  $0.055 \text{ cm}^{-1}/\text{atm}$  is taken above  $m = 121$ .

For the self-broadening halfwidths, a table was compiled by a third-order polynomial fit of data that extrapolated to  $m = 120$ . For  $m = 1$  to 4, averaged experimental data were used due to deficiencies in the polynomial. Beyond  $m = 121$ , a constant value of  $\gamma_{\text{self}} = 0.051 \text{ cm}^{-1}/\text{atm}$  has been adopted. Note, however, that these high- $m$  default values will only be utilized in high-temperature applications<sup>5</sup> since the HITRAN intensity cutoff for  $\text{CO}_2$  does not produce a value of  $m$  greater than 108.

The exponents describing the temperature dependence of the air-broadened halfwidths are from R.R. Gamache and L. Rosenmann (unpublished results, 1991) and the line shifts for the  $\nu_3$  transitions are the data of Devi et al.<sup>34</sup>

### 2.3. $\text{O}_3$ (molecule 3)

The updates and new entries for ozone are based on laboratory measurements recorded with the Fourier transform spectrometers at Kitt Peak, Arizona, U.S.A., and at the University of Reims, France. The measurements were obtained in the infrared at spectral resolutions of  $0.0025\text{--}0.01 \text{ cm}^{-1}$ . The changes to the compilation are summarized in Table 6, where the new or modified band systems are listed in ascending wavenumber order. A more detailed description of the changes, accuracies, and limitations of the ozone parameters in HITRAN96 is given in the accompanying paper by Rinsland et al.<sup>35</sup> A summary of the changes is provided below.

At  $14 \mu\text{m}$ , the  $\nu_2$  bands of the rarer isotopes  $^{16}\text{O}^{16}\text{O}^{17}\text{O}$  and  $^{16}\text{O}^{17}\text{O}^{16}\text{O}$  have been added. In this work,<sup>36</sup> the rotational constants of the ground state were redetermined by combining published microwave measurements with combination differences measured from the infrared spectra. Based on these results, complete linelists for the pure rotation bands of both isotopes were generated and also added to HITRAN.

At shorter wavelengths, a consistent set of spectroscopic parameters has been used to generate several new bands and several revised bands of  $^{16}\text{O}_3$ . The first assignment of the weak, previously unobserved  $3\nu_1$  band at  $3.3 \mu\text{m}$  resulted in an improved calculation<sup>37</sup> of the energy levels of the four interacting states (0 0 3), (3 0 0), (1 0 2), and (2 0 1). These improved energy levels were used to generate parameters for the bands at  $3.3 \mu\text{m}$  and add several hot bands at  $4.8$  and  $10 \mu\text{m}$ . The previously unobserved  $\nu_1 + 3\nu_3 - \nu_2$  hot band at  $3.0 \mu\text{m}$  was also added. The intensity of this band is about one-third that of the weak  $3\nu_1$  cold band.<sup>37</sup>

Table 6. Summary of the differences in the parameters for ozone between the 1992 and 1996 HITRAN databases

Isotopomer	Region ( $\mu\text{m}$ )	Upper vibrational state	Lower vibrational state	New	Update
667	MW	000	000	×	
676	MW	000	000	×	
667	14	010	000	×	
676	14	010	000	×	
666	10	{003,102,201,300}	{200,101,002}	×	
666	4.8	{003,102,201,300}	{100,001}		×
666	3.3	{003,102,201,300}	000		×
666	3.3	{004,103,310}	{100,001}	×	
666	3.3	{013,112}	010	×	
666	3.0	{004,103,310}	010	×	
666	2.7	{013,112}	000	×	
666	2.5	{004,103,310}	000	×	

MW = microwave, 667 =  $^{16}\text{O}^{16}\text{O}^{17}\text{O}$ , 676 =  $^{16}\text{O}^{17}\text{O}^{16}\text{O}$ , 666 =  $^{16}\text{O}_3$ . Updates are defined as changes relative to HITRAN 1992 (Ref. 1).

At 3.0 and 3.3  $\mu\text{m}$ , a total of 8 hot bands of  $^{16}\text{O}_3$  were added. The calculated transitions involve the  $\{(103), (004), (310)\} \leftarrow \{(100), (001)\}$  and  $\{(013), (112)\} \leftarrow (010)$  band systems. A description of the calculations and sample comparisons of measured laboratory spectra with simulations were reported by Flaud et al.<sup>38</sup> The improved upper vibrational state energy levels derived from this work were also used to generate line lists for the  $^{16}\text{O}_3$  cold bands in the 2.5- and 2.7- $\mu\text{m}$  regions.

#### 2.4. $\text{N}_2\text{O}$ (molecule 4)

Several updates for nitrous oxide were accomplished for this edition: the pure rotational bands, the  $\nu_2$  and associated hot band region from 523 to 728  $\text{cm}^{-1}$ , and the region from 3046 to 3625  $\text{cm}^{-1}$ .

The update of pure rotational bands involves the first four isotopomers and comprises almost 2600 lines from 10 to 727  $\text{cm}^{-1}$ . These data have been assimilated from the Smithsonian Astrophysical Observatory Database.<sup>39</sup>

There has been a continuing program to update the nitrous oxide rovibrational bands carried on by R.A. Toth of the Jet Propulsion Laboratory. Similar to our work on carbon dioxide, this effort aims at achieving a self-consistent set of parameters for all bands. For this edition of HITRAN, the bands in the two aforementioned regions, 14 and 3  $\mu\text{m}$ , have been replaced. The update in the latter region is extensive. On the other hand, parameters now exist for the  $\nu_2$  band (580–670  $\text{cm}^{-1}$ ) and associated hot bands<sup>40,41</sup> based on new laboratory measurements; these parameters will be included in a future HITRAN release. This analysis contains Herman-Wallis factors which are not included in the current HITRAN study, where strengths are in error by up to 25% at higher  $J$ .

An anomaly in the HITRAN96 release is that the R3 line of the  $\nu_2$  fundamental of the principal isotope,  $^{14}\text{N}_2^{16}\text{O}$ , was inadvertently omitted (it was present in previous editions of HITRAN).

#### 2.5. $\text{CO}$ (molecule 5)

The carbon monoxide line parameters remain unchanged in the pure rotation region except for minor updates to the positions of R2 and higher  $J$  lines based on the positions of Varberg and Evenson.<sup>42</sup> The positions, intensities, and lower state energies for the fundamental, first, and second overtone bands have been replaced by a new calculation that adds data for a sixth isotope ( $^{13}\text{C}^{17}\text{O}$ ) to data for the five principal isotopes ( $^{12}\text{C}^{16}\text{O}$ ,  $^{13}\text{C}^{16}\text{O}$ ,  $^{12}\text{C}^{18}\text{O}$ ,  $^{12}\text{C}^{17}\text{O}$ , and  $^{13}\text{C}^{18}\text{O}$ ). The transition frequencies calculated using mass-independent Dunham parameters,<sup>43</sup> and the intensities calculated using the dipole moment of Chackerian and Tipping<sup>44</sup> have not substantially altered HITRAN. The current linelist is adapted from the tables by Goorvitch,<sup>45</sup> which were based on Refs. 43 and 44. Parameters for the lines of the (4–0) band of  $^{12}\text{C}^{16}\text{O}$ , the only third overtone band, have not been changed since the 1992 edition.

The air-broadening coefficient at 296 K, the self-broadening coefficient at 296 K, and the coefficient of the temperature dependence of the air-broadening coefficient are the same as on the 1992 edition. Note that the temperature-dependence coefficient is set to 0.69 for all lines and the default value of zero remains for the pressure-shift coefficient of all lines.

#### 2.6. $\text{CH}_4$ (molecule 6)

The only change made for the 1996 edition regarding methane was the replacement of the prediction of the three lowest fundamentals of  $\text{CH}_3\text{D}$  with one that utilized all the dipole moments and Herman-Wallis like terms reported by Tarrago et al.<sup>46</sup> However, the upper- and lower-state quantum numbers on these transitions between the 900- and 1700- $\text{cm}^{-1}$  region were mistakenly reversed. Users should refer to Ref. 46 for the correct notation.

A comprehensive revision of methane parameters is in progress at the University of Bourgogne in Dijon, France. In the region of the lowest fundamentals of  $\text{CH}_4$  (900–2000  $\text{cm}^{-1}$ ), improved parameters are now available from the modeling of some 1700 observed line intensities for 9 hot bands by Ouardi et al.<sup>47</sup> combined with the analysis of upper state levels near 3000  $\text{cm}^{-1}$  (Hilico et al.<sup>48</sup>) to determine the hot-band positions. New (but still preliminary) predictions of the 2000 to 3300  $\text{cm}^{-1}$  and 3500 to 4700  $\text{cm}^{-1}$  regions have been made (J.C. Hilico, Université de Bourgogne, France, private communication, 1997). For  $^{12}\text{CH}_3\text{D}$ , new studies that are in progress are expected to provide complete predictions up to 3300  $\text{cm}^{-1}$ . A new analysis of the triad ( $\nu_6$ ,  $\nu_3$ , and  $\nu_5$ ) between

900 and 1700  $\text{cm}^{-1}$  by Nikitin et al<sup>49</sup> has produced a new prediction with line positions improved by almost an order of magnitude. While these studies were completed after the 1996 HITRAN database was formed, new results<sup>47,49</sup> for the lowest regions were included in the 1996/1997 GEISA databank.<sup>50</sup>

## 2.7. $\text{O}_2$ (molecule 7)

The spectral parameters for the oxygen molecule were calculated for the electronic-vibrational bands listed in Table 7. Complete details of the calculations can be found in Gamache et al.<sup>51</sup> All lines passing a wavenumber-dependent intensity cutoff procedure (see Eq. (17) of Ref. 51) are retained for the 1996 HITRAN database, with several noted exceptions. These data represent an improvement to the data contained on the 1992 version of the HITRAN molecular absorption database,<sup>1</sup> which are from calculations made in 1982.<sup>52</sup> The calculations consider the lower state energy, the wavenumber of the transition, the line intensity, and transition probability squared of the spectral lines. The calculation of the energies and positions benefitted from newer, more accurate Hamiltonian formalisms and constants.<sup>53,54</sup> In addition, halfwidths as a function of transition quantum number were determined from the available experimental measurements.

Line intensities were calculated using several different formulations depending on the particular electronic-vibrational-rotational transition in question. The method used for each band is discussed in Ref. 51. Some of the significant changes are highlighted here. For electric quadrupole transitions in the  $X^3\Sigma_g^-(v=0) \rightarrow X^3\Sigma_g^-(v=0)$  band, a newer value of the quadrupole moment derived from far-IR pressure-induced absorption spectra<sup>54</sup> was adopted. The resulting line intensities and transition probabilities squared are a factor of 5.8 weaker than previous calculations.<sup>55</sup> For this band, no electric quadrupole lines survived the cutoff for the 1996 data set.

For the  $X^3\Sigma_g^-(v=1) \rightarrow X^3\Sigma_g^-(v=0)$  band of the principal species, the line intensities were not filtered through the cutoff procedure. Thus, many of the lines have very small intensities. (In fact, one zero intensity magnetic dipole and one electric quadrupole transition have been retained in the data for theoretical considerations; it helps to see the effects of assumed parameters on these lines.)

For the  $a^1\Delta_g(v=0) \rightarrow X^3\Sigma_g^-(v=0)$  band there are available three measurements<sup>56-58</sup> of the Einstein- $A$  coefficient which differ by roughly factors of 2 from each other. In addition, the relationship between the line intensity and Einstein- $A$  coefficients in Refs. 57 and 58 leads to additional confusion. Reference 51 gives the correct degeneracy factors for relating the Einstein- $A$  coefficient, the integrated band intensity, and the line intensities. Using these relations and unpublished data for this band (J.W. Brault and M.M. Brown, Kitt Peak National Solar Observatory) yields a band intensity of  $S_{00} = 3.69 \times 10^{-24} \text{ cm}^{-1}/(\text{molecule} \cdot \text{cm}^{-2})$  which is close to the Badger et al<sup>56</sup> value. The calculation of the line intensities for the 1996 database used the Einstein- $A$  coefficient

Table 7. Electronic-vibrational bands of  $\text{O}_2$  in the 1996 HITRAN database

Electronic band	$^{16}\text{O}_2$		$^{16}\text{O}^{18}\text{O}$		$^{16}\text{O}^{17}\text{O}$	
	$v' \leftarrow v''$	Spectral range ( $\text{cm}^{-1}$ )	$v' \leftarrow v''$	Spectral range ( $\text{cm}^{-1}$ )	$v' \leftarrow v''$	Spectral range ( $\text{cm}^{-1}$ )
$X^3\Sigma_g^- \leftarrow X^3\Sigma_g^-$	$0 \leftarrow 0$	0–276	$0 \leftarrow 0$	1–214	$0 \leftarrow 0$	0–252
	$1 \leftarrow 1$	1–207				
	$1 \leftarrow 0$	1366–1718				
$a^1\Delta_g \leftarrow X^3\Sigma_g^-$	$0 \leftarrow 1$	6256–6432				
	$0 \leftarrow 0$	7664–8065	$0 \leftarrow 0$	7779–7998		
	$1 \leftarrow 0$	9250–9477				
$b^1\Sigma_g^+ \leftarrow X^3\Sigma_g^-$	$0 \leftarrow 1$	11 483–11 617				
	$1 \leftarrow 1$	12 847–13 011				
	$0 \leftarrow 0$	12 899–13 166	$0 \leftarrow 0$	12 975–13 165		
	$1 \leftarrow 0$	14 301–14 558	$1 \leftarrow 0$	14 367–14 520	$1 \leftarrow 0$	14 453–14 537
	$2 \leftarrow 0$	15 719–15 928	$2 \leftarrow 0$	15 789–15 852		
$B^3\Sigma_u^- \leftarrow X^3\Sigma_g^-$	†	44 606–57 027				

†  $v' = 0-19$ ,  $v'' = 0-2$  (see Section 4 on ultraviolet datasets).

$A = 2.59 \times 10^{-4} \text{ s}^{-1}$  with statistical degeneracy factors of  $d_l = 3$  and  $d_u = 2$ . The resulting line intensities are larger than in HITRAN92 by roughly a factor of 2 due to incorrect conversion of the  $A$  of Ref. 56 to  $S$  used in previous versions of HITRAN. Suspicion of such missing factors of 2, and concerns about the interpretation of upper atmosphere emissions, such as inferring ozone from SME (Solar Mesosphere Explorer via the  $a^1\Delta_g$  1.27- $\mu\text{m}$  airglow) were expressed by Mlynczak and Nesbitt<sup>59</sup> (who conjectured a significant change in  $A$  from one of the reported  $S$  values in Table 5 of Hsu et al<sup>58</sup>) and by Pendelton et al.<sup>60</sup> Recent observations in the mesosphere<sup>61</sup> confirm the Badger et al<sup>56</sup> value of the Einstein- $A$  coefficient. Moreover, new line intensity measurements made at National Institute for Standards and Technology<sup>62</sup> and at the Rutherford Appleton Laboratory<sup>63</sup> are roughly 15% larger than the HITRAN96 values. Preliminary comparisons indicate agreement between these two new independent high-resolution studies.

For the  $X^3\Sigma_g^-(v=0) \rightarrow X^3\Sigma(v=0)$  band of the  $^{16}\text{O}^{17}\text{O}$  species, the isotopic abundance factor was inadvertently omitted from these line intensities in the 1996 HITRAN database. Thus, the ratio of the line intensity ( $S_{92}/S_{96}$ ) is 0.000750 on average. In order to properly use the intensities for these data, they should be multiplied by  $I_a = 0.000742235$ . Other changes in the line intensities are documented in Ref. 51.

Measured halfwidths<sup>64-67</sup> for several bands of the  $\text{O}_2$  molecule were collected and compared to derive a consistent set of values.<sup>51</sup> The resulting procedure for addition of the halfwidths to the 1996 HITRAN database is as follows. The  $X^3\Sigma_g^-$  pure rotation band uses the data reported by Krupenie<sup>64</sup> for the 60 GHz lines. The electric quadrupole transitions and the transitions involving the  $a^1\Delta_g$  state use the A-band values.<sup>65</sup> For the A- and  $\gamma$ -bands, the halfwidths of Ritter and Wilkerson<sup>65</sup> are averaged as a function of  $N''$  for the  $^{\text{P}}\text{P}$  and  $^{\text{P}}\text{Q}$  lines grouped together and for the  $^{\text{R}}\text{R}$  and  $^{\text{R}}\text{Q}$  lines grouped together. The halfwidths for both the R and P lines are extrapolated to an asymptotic limit of  $\gamma = 0.032 \text{ cm}^{-1}/\text{atm}$  for  $N'' = 40$  after which the constant asymptotic limit value is used. The values of the halfwidths of  $\text{O}_2$  used in HITRAN96 are given in Ref. 51. New measurements of halfwidths and shifts for the bands beyond  $10000 \text{ cm}^{-1}$  are in progress (L.R. Brown, private communication, 1998).

The error code (see HITRAN96 manual) for the halfwidths is set to 4. The error code for the line positions of  $X^3\Sigma_g^- \rightarrow X^3\Sigma_g^-$  electronic band is set to 4 and all other error codes are not utilized for oxygen (i.e., set to 0). The electric-quadrupole and magnetic-dipole transitions are labeled by the lower case letters q and d, respectively, in the sym field of the rotational quantum number character string, i.e. Br,  $F''$ , -,; Br,  $N''$ , Br,  $J''$ , —, Sym (Group 5 in Table 4).

## 2.8. NO (molecule 8)

For the  $^{14}\text{N}^{16}\text{O}$  isotopic species of nitric oxide (99.39% in natural abundance), a synthetic spectrum was calculated in the fundamental region. This calculation was made possible through more accurate measurements<sup>68,69</sup> of line positions and intensities in the allowed and forbidden sub-bands of the fundamental band 1-0, as well as in the allowed sub-bands of the hot-band 2-1. The accuracy of the lambda-doublings and of the absolute line intensities was noticeably improved, and the hyperfine structure was taken into account in the measurement procedure when it was necessary.

In the involved spectral region, i.e. between  $1487$  and  $2189 \text{ cm}^{-1}$ , positions and intensities of 9196 transitions were included in the updated HITRAN database version (for these lines, data related to pressure broadening and shifts were not changed). The computation was performed for  $J''$  up to 45.5 for the  $1 \leftarrow 0$  sub-bands, and up to 30.5 for the  $2 \leftarrow 1$  sub-bands. No cutoff was fixed for the line intensities. The quantum numbers  $F''$  and  $F'$  of the transitions, associated with the total angular momentum of the lower and upper rovibrational levels respectively, were indicated in the local quanta dedicated columns of the HITRAN format. Note that the reported value of  $E''$ , the energy of the lower level of the transition, is purely rovibrational, i.e., it does not take into account the hyperfine structure; the origin of energy for  $E''$  is zero for the lowest level. In the concerned spectral region, the uncertainty in the absolute line positions is between  $0.0001$  and  $0.001 \text{ cm}^{-1}$ , and the uncertainty in the absolute line intensities is 7% or better.

A critical evaluation of the HITRAN NO line parameters is presented in a separate paper in this issue by Goldman et al.<sup>70</sup> In particular, it emphasizes the improvements in the database, but also specifies some setbacks, such as the loss of the individual halfwidths of the (1-0) band and some of

the lines of the (2–1) band. This paper also includes a detailed account of ongoing NO studies beyond HITRAN, which will be incorporated in future editions of the database.

### 2.9. SO<sub>2</sub> (molecule 9)

Because of its presence in interstellar clouds and in the atmosphere of Venus, sulfur dioxide is well known to be both of astrophysical and planetary importance. In the terrestrial atmosphere, SO<sub>2</sub> is produced by both anthropogenic and natural sources, and is responsible for the production of acid rain. Strong volcanic eruptions, such as the Mount Pinatubo eruption in the Philippines in June 1991, can deposit a large amount of SO<sub>2</sub> in the atmosphere. Once in the stratosphere, sulfur dioxide is converted into sulfate aerosols which affect both stratospheric chemistry and climate.<sup>71–73</sup>

The HITRAN database provides SO<sub>2</sub> parameters for two isotopic species, namely <sup>32</sup>S<sup>16</sup>O<sub>2</sub> and <sup>34</sup>S<sup>16</sup>O<sub>2</sub>, in seven different spectral regions, which correspond to transitions within the ground vibrational state, and the 19.3-, 8.6-, 7.3-, 4-, 3.7- and 2.5- $\mu$ m spectral regions (respectively the bands  $\nu_2$ ,  $\nu_1$ ,  $\nu_3$ ,  $\nu_1 + \nu_3$  and  $\nu_1 + \nu_2 + \nu_3 - \nu_2$ ,  $2\nu_3$ , and  $3\nu_3$ ). The corresponding line lists are extensively described in the accompanying article by Perrin et al;<sup>74</sup> we give here only comments concerning the spectral range recently updated. A more global overview, with recommendations for future improvements, is also given in the same article.<sup>74</sup>

**2.9.1. The 8.6- and 7.3- $\mu$ m regions.** The 8.6- and 7.3- $\mu$ m regions correspond to the  $\nu_1$  and  $\nu_3$  bands of SO<sub>2</sub>. The  $\nu_3$  band is actually the strongest infrared band of SO<sub>2</sub>. This  $\nu_3$  band unfortunately presents the disadvantage of being overlapped with the strong  $\nu_2$  band of water vapor, preventing measurements of SO<sub>2</sub> in this infrared region from the ground. However, this infrared band may be used from space-borne instruments for stratospheric measurements of SO<sub>2</sub>.<sup>73</sup> On the other hand, the  $\nu_1$  band, although about nine times weaker than  $\nu_3$ , corresponds to a rather clear atmospheric window.<sup>71</sup> In 1992, the SO<sub>2</sub>  $\nu_1$  and  $\nu_3$  line parameters were included in HITRAN92,<sup>1</sup> but we still believe that these parameters need refinements: first because the line positions which were generated for the  $\nu_1$  and  $\nu_3$  bands did not take into account the rovibrational resonances, thus preventing a correct calculation of the positions of lines involving high-rotational quantum numbers, and second, because the line intensities were generated using various low resolution total band intensity measurements. Actually, a recent study of these bands was performed: the resonances involving the  $\nu_1$ ,  $\nu_3$  and  $2\nu_2$  interacting bands were taken into account explicitly leading to more accurate line positions.<sup>75</sup> The line intensity study of the  $\nu_1$  and  $\nu_3$  bands is in progress, using both medium- and high-resolution line intensity measurements.<sup>76</sup>

**2.9.2. The 4- $\mu$ m spectral region.** This spectral range corresponds to the  $\nu_1 + \nu_3$  band and the  $\nu_1 + \nu_2 + \nu_3 - \nu_2$  first associated hot band. Although this band is not the strongest infrared band of this molecule, it corresponds to a very clear atmospheric window, and is thereby of atmospheric importance. Lines from these two bands were recently updated for the <sup>32</sup>S<sup>16</sup>O<sub>2</sub> isotopic species using the line list which was generated in Lafferty et al.<sup>77</sup> As compared to the previous line lists, the line positions are more accurate because they were generated using new experimental data recorded by a difference-frequency laser spectrometer and because the rovibrational resonances were explicitly taken into account. On the other hand, the line intensities were derived using both high- and medium-resolution experimental data, leading to values of the total band intensity  $S_{\nu}(\nu_1 + \nu_3) = 0.539 \times 10^{-18} \text{ cm}^{-1}/(\text{molecule} \cdot \text{cm}^{-2})$  and  $S_{\nu}(\nu_1 + \nu_2 + \nu_3 - \nu_2) = 0.425 \times 10^{-19} \text{ cm}^{-1}/(\text{molecule} \cdot \text{cm}^{-2})$ . These values differ significantly from the previous ones quoted in HITRAN92 ( $0.395 \times 10^{-18}$  and  $0.211 \times 10^{-19} \text{ cm}^{-1}/(\text{molecule} \cdot \text{cm}^{-2})$ ). It is worth noticing that the  $\nu_1 + \nu_3$  band of the <sup>34</sup>S<sup>16</sup>O<sub>2</sub> isotopic variant needs improvement: indeed according to the total band intensity presently quoted in HITRAN for <sup>34</sup>S<sup>16</sup>O<sub>2</sub> (i.e.  $0.6027 \times 10^{-20} \text{ cm}^{-1}/(\text{molecule} \cdot \text{cm}^{-2})$ ) the ratio of <sup>34</sup>S<sup>16</sup>O<sub>2</sub> and <sup>32</sup>S<sup>16</sup>O<sub>2</sub> band intensity for the  $\nu_1 + \nu_3$  band differs significantly from the isotopic ratio of these two isotopomers.

**2.9.3. The 3.7- $\mu$ m spectral region.** The line parameters corresponding to this spectral range have been introduced for the first time in HITRAN using the  $2\nu_3$  line positions and line intensities recently obtained by Lafferty et al.<sup>78</sup>

**2.9.4. The 2.5- $\mu$ m spectral region.** The SO<sub>2</sub> line parameters in the 2.5- $\mu$ m spectral region, which corresponds to the  $3\nu_3$  bands, were included in the HITRAN database for the first time because this rather weak band corresponds to an infrared window for the Venus atmosphere. More explicitly, the

SO<sub>2</sub> signature in the 3ν<sub>3</sub> Q branch could be identified at 4050 cm<sup>-1</sup> in the Venus infrared spectrum.<sup>79</sup> These 3ν<sub>3</sub> line parameters were generated from the extensive line-position and line-intensity study<sup>80,81</sup> which was performed using various techniques (Fourier transform spectra and difference-frequency laser measurements) and taking into account all the relevant rovibrational resonances.

*2.9.5. Line-broadening parameters.* In HITRAN 1996, different broadening parameters ( $\gamma_{\text{air}} = 0.110\text{--}0.152$  cm<sup>-1</sup>/atm,  $n = 0.5\text{--}0.75$  and  $\gamma_{\text{self}} = 0.39\text{--}0.40$  cm<sup>-1</sup>/atm or no value) are reported for the various SO<sub>2</sub> microwave or infrared bands. At first approximation, no rotational dependence is included for these broadening parameters. These values are based on theoretical calculations of averages of measurements of Tejwani<sup>82</sup> and Yang et al<sup>83</sup> which are in rather reasonable agreement with the diode laser measurements performed in the microwave region or in the ν<sub>3</sub> and ν<sub>1</sub> bands except for lines involving rather high rotational quantum numbers.<sup>84,85</sup> The pressure-shift coefficients are set to the default value of zero for all lines.

## 2.10. NO<sub>2</sub> (molecule 10)

Because of the importance of nitrogen dioxide in the photochemistry of the atmosphere, this molecule has been the subject of numerous studies. In the HITRAN database,<sup>1,86</sup> four spectral domains involve <sup>14</sup>N<sup>16</sup>O<sub>2</sub> namely, the pure rotation region, and the 13.3-, 6.2-, and 3.4-μm regions. Tables 1 and 2 of Perrin et al<sup>74</sup> give a summary of the existing linelists, the number of lines, the frequency range, the intensity range, and the band intensity together with the year of their most recent update.

<sup>14</sup>N<sup>16</sup>O<sub>2</sub> is an asymmetric rotor with a doublet structure due to the electron spin-rotation interaction and a hyperfine structure due to interactions involving the <sup>14</sup>N nuclear spin ( $I = 1$ ). Also starting from the first triad of interacting states, one has to consider rovibrational interactions. Depending on the spectral range of interest, it is necessary to take explicitly into account some of these interactions to accurately calculate the line positions and intensities. The rotational quantum numbers which need to be defined are  $N, K_a, K_c, J = N \pm 1/2$ , and, when the hyperfine structure has to be considered,  $F = J, J \pm 1$ . The coding of the  $J$  and  $F$  quantum numbers, which differ from one spectral region to the other, is given in Table 2 of Ref. 74. We will describe here only the spectral ranges which were recently updated or for which specific recommendations for the future are given.

*2.10.1 The 13.3-μm region.* In the 13.3-μm region, a new analysis of the ν<sub>2</sub> band<sup>87</sup> has shown that the hyperfine interaction should be taken into account together with the spin-rotation interaction because the hyperfine structure is easily observable in some parts of the ν<sub>2</sub> band. Consequently, a new line list was generated for the ν<sub>2</sub> band and included in HITRAN96. Subsequently, the first hot band 2ν<sub>2</sub>-ν<sub>2</sub> (i.e. the 020 ← 010 vibrational transition), which was not present in the previous versions of the database, was included in this linelist: for this hot band the hyperfine structure was not modeled. For these two linelists generated in the 13 μm region, new experimental line positions obtained from Fourier transform spectra<sup>87</sup> and ν<sub>2</sub> experimental lines intensities measured by Devi et al<sup>88</sup> were used.

*2.10.2. The 6.2-μm region.* The 6.2-μm region corresponds to the strongest infrared band of this molecule and is largely used for measurements of atmospheric nitrogen dioxide from high-altitude experiments (balloon or satellite). Because of the importance of the 6.2-μm region, the spectral linelist corresponding to the ν<sub>3</sub> band (which is the main cold band in this region), and to the ν<sub>1</sub> and 2ν<sub>2</sub> interacting cold bands were updated in HITRAN96. This line list was generated using the new measurements on line positions<sup>89</sup> and line intensities<sup>90,91</sup> performed for the ν<sub>1</sub>, 2ν<sub>2</sub> and ν<sub>3</sub> interacting cold bands. For the line position calculations, the theoretical model takes explicitly into account the (100) ↔ (001) and the (020) ↔ (001) first- and second-order Coriolis interactions affecting the rovibrational levels. Furthermore, the contribution to the energy levels due to the spin-rotation interaction was taken into account explicitly in this new line list. Let us recall that this interaction was only taken into account through a perturbation method in the previous HITRAN version.<sup>1</sup> Finally, the first hot band, namely ν<sub>2</sub> + ν<sub>3</sub> - ν<sub>2</sub>, was not updated: it is anticipated that this first hot band in the 6.2-μm region will be improved in the future using the results of the recent and more accurate analysis performed for this band in Perrin et al.<sup>92</sup>

According to the results of the spectra recorded by the NASA Limb Infrared Monitor of the Stratosphere instrument performed in the 6.2- $\mu\text{m}$  region where an excess of stratospheric  $\text{NO}_2$  radiance was observed, Kerridge and Remsberg<sup>93</sup> suggested the existence of a Non-Local Thermodynamical Equilibrium (NLTE) situation for  $\text{NO}_2$  in the stratosphere involving highly excited  $\nu_3$  vibrational states. Because of the higher spectral resolution provided by future emission sensing instruments such as MIPAS (Michelson Interferometer for Passive Atmospheric Sounding),<sup>94</sup> we can expect to see this effect much better. For this reason it may be necessary to include in a future version of HITRAN the line lists corresponding to the  $(n+1)\nu_3 - n\nu_3$  hot bands of  $\text{NO}_2$ . The first member of this sequence is the  $2\nu_3 - \nu_3$  hot band, for which very accurate line parameters are now available.<sup>95</sup>

**2.10.3. The 3.4- $\mu\text{m}$  region.** The 3.4- $\mu\text{m}$  region corresponds to the  $\nu_1 + \nu_3$  cold band and to its associated first hot band  $\nu_1 + \nu_2 + \nu_3 - \nu_2$ . The 3.4- $\mu\text{m}$  band, which is about 20 times weaker than the 6.2- $\mu\text{m}$  band, is also of atmospheric interest because it falls in a relatively clear atmospheric window and is then usable for atmospheric measurements from the ground. The linelists included in HITRAN for the  $\nu_1 + \nu_3$  and  $\nu_1 + \nu_2 + \nu_3 - \nu_2$  bands were generated in 1982.<sup>96</sup> The line positions and intensities used as input for the 1982 calculations were obtained, depending on the bands, from grating and Fourier transform spectra (see Refs. 74 and 96 and references therein). When dealing with the line positions, the rovibrational resonances involving the  $\langle \nu_1, \nu_2, \nu_3 \rangle \Leftrightarrow \langle \nu_1, \nu_2 \pm 2, \nu_3 \mp 1 \rangle$  resonating states were explicitly taken into account, but on the other hand, the spin-rotation interaction was only taken into account through a second-order perturbation treatment. Accordingly, the accuracy of the line positions is of the order of  $1\text{--}5 \times 10^{-3} \text{ cm}^{-1}$  for most of the lines appearing at 3.4  $\mu\text{m}$  in the HITRAN96 line list, this accuracy deteriorating for lines affected by a spin-rotation resonance or involving high rotational quantum numbers. A new analysis of the  $\nu_1 + \nu_3$  band has been performed recently using Fourier transform spectra<sup>97</sup> and an improved theoretical model described in Ref. 74. Also in the work of Mandin et al.,<sup>97</sup> line-intensity measurements were performed: as compared to those presently quoted in HITRAN, these experimental intensities are in good agreement for the  $\nu_1 + \nu_3$  band, but are about 1/1.48 lower for the  $\nu_1 + \nu_2 + \nu_3 - \nu_2$  hot band. For all these reasons, a future update of  $\text{NO}_2$  in the 3.4- $\mu\text{m}$  region is recommended.

**2.10.4. Line-broadening parameters.** As compared to HITRAN92, there is no update of the broadening parameters, i.e. the same value of the air-broadening coefficient (namely  $\gamma_{\text{air}} = 0.067 \text{ cm}^{-1}/\text{atm}$ ) is quoted for all lines of all bands, with the value  $n = 0.5$  for its temperature dependence. Also no value is quoted in HITRAN for the self-broadening parameter and for the air-broadened pressure shift.

## 2.11. $\text{NH}_3$ (molecule 11)

Bands of ammonia are now included for the first time between 2100 and 5300  $\text{cm}^{-1}$ , increasing the spectral region covered by the ammonia parameters by nearly 150%. Below 2100  $\text{cm}^{-1}$ , the positions and intensities of the transitions remained essentially unchanged from the values on the 1986 HITRAN database;<sup>2</sup> the only major change was to decrease the intensity of  $\nu_2 - \nu_2$  by a factor of two. Throughout the  $\text{NH}_3$  compilation, the prior default constant line widths were replaced by estimates provided by a polynomial fit of measurements for transitions of  $J'' < 13$ . The temperature dependence of the widths was set to a constant, however, and no pressure shifts were provided.

The additional regions were available from completed studies of four different band systems at 4-, 3-, 2.3- and 2- $\mu\text{m}$ .<sup>98-101</sup> At 4  $\mu\text{m}$ , the positions and intensities of the  $3\nu_2$  and  $\nu_2 + \nu_4$  bands were measured using the Kitt Peak FTS and modeled by Kleiner et al.<sup>98</sup> to provide a prediction of nearly 1100 transitions of the main isotope. Some 270 unassigned lines based on measured positions and intensities were also included; the lower state energies of these features were set to 300  $\text{cm}^{-1}$  (thereby being inconsistent with the customary HITRAN flag of -1). At 3  $\mu\text{m}$ , a prediction of nearly 900 transitions of the  $\nu_1$  and  $\nu_3$  bands of the main isotope was made using the energy-level analysis of Guelachvili et al.<sup>99</sup> At 2.3  $\mu\text{m}$ , a similar energy-level study of the  $\nu_1 + \nu_2$  and  $\nu_2 + \nu_3$  bands by Urban et al.<sup>100</sup> provided the fitted constants for a prediction of the two strongest bands in the region. Finally, at 2  $\mu\text{m}$ , the empirical study of Brown and Margolis<sup>101</sup> resulted in a comprehensive linelist of measured positions and intensities. Lower state energies were determined either from some 900

quantum assignments to the  $\nu_1 + \nu_4$  and  $\nu_3 + \nu_4$  of both isotopes or from the measurement of intensities at different sample temperatures. Over 1100 unassigned features were included.

The intensities of the 4- and 2- $\mu\text{m}$  bands are based on recent measurements using the Fourier transform spectrometer at Kitt Peak (Refs. 98 and 101, respectively). The intensities in the 3- and 2.3- $\mu\text{m}$  bands were set using unpublished results of Š. Urban and P. Pracna (Czech Academy of Science, 1993), but these values are somewhat different from those in the literature. For example, for  $\nu_1$  and  $\nu_3$ , the database has band intensities of 20.5 and 21.4  $\text{cm}^{-2}/\text{atm}$  compared to 22.3 and 13.1  $\text{cm}^{-2}/\text{atm}$  reported by Pine and Dang-Nhu,<sup>102</sup> while for  $\nu_1 + \nu_2$  and  $\nu_2 + \nu_3$ , the database has band strengths of 2.8 and 16.1  $\text{cm}^{-2}/\text{atm}$  compared to 2.71 and 19.0  $\text{cm}^{-2}/\text{atm}$  reported by Margolis and Kwan.<sup>103</sup>

All prior editions of the ammonia linelists contained a constant default value of 0.075  $\text{cm}^{-1}/\text{atm}$  for most of the air-broadened line widths and zero for the self-broadened widths. However, measurements of self- and foreign-broadened widths of ammonia in the  $\nu_1$  Q branch were made by Pine et al<sup>104</sup> and Markov et al<sup>105</sup> for 58 transitions. Later, Brown and Peterson<sup>106</sup> determined that the  $\text{N}_2$  and  $\text{O}_2$  data could be reproduced to within  $\pm 4\%$  using a simple polynomial expression involving  $J''$  and  $K''$ . These expressions and constants for Q-branch lines were applied to all the ammonia transitions for  $J'' < 13$ . At higher quanta, default values of 0.06 and 0.40 were used for air- and self-broadening respectively. It is seen that the P-, Q-, and R-branch transitions at the same  $J''$  and  $K''$  had widths that differed by 5–10% even at low quanta and so applying Q-branch widths to R- and P-branch transitions are not as reliable as individual measurements. Thus, using Q-branch line widths for the P and R branches will introduce small systematic errors.

For the 1996 HITRAN database, an attempt was made to standardize the information in the rotational fields. Because past updates were done in different decades, the parameters in the rotational,  $\nu_2$ , and  $\nu_4$  regions had different notations to indicate the inversion symmetries. Early editions had used the symbols + and – in the rotational fields to show identification of the levels, while later providers made line lists with the inversion symmetry indicated only by the vibrational code or only in the rotational fields. In the 1996 HITRAN, the new entries, and many of the old below 2000  $\text{cm}^{-1}$ , are written with a scheme in which “a” and “s” appear in both the rotational and vibrational fields. Unfortunately, some errors were made. The rotational lines of the  $^{15}\text{NH}_3$  isotopomer are still written + and – rather than a and s. In the main isotope, the far-infrared rotational a  $\rightarrow$  s lines have been incorrectly written as s  $\rightarrow$  a throughout. In the 2- $\mu\text{m}$  region, the lower-state vibrational indices for the “s” states are listed as “a”, although the inversion symmetries are correct in upper state vibrational field and both the rotational fields. For these regions, users should review the original references to understand if the database identifications are correct for specific transitions. With new work in progress for the 3- and 6- $\mu\text{m}$  regions (I. Kleiner, Université Paris-Sud, France, private communication, 1998), some of these inconsistencies can be corrected in future editions.

One should note that the vibrational indices were selected to maintain backward compatibility with the prior version of the database. The first four codes indicate the vibrational states without the inversion symmetry; code 1 to 4 are respectively, 0000, 0100, 0200, and 0001. Codes 5–8 involve the same states with for the “a” levels (0000a, 0100a, 0200a, 0001a), while codes 9–12 are the same states for the “s” levels. Code 13 is used to indicate unassigned lines that appear in the 4- and 2- $\mu\text{m}$  regions. The higher codes are used for the new bands above 2100  $\text{cm}^{-1}$ . The correspondence of the HITRAN codes used for these “global” quanta to standard spectroscopic notation are given in several places in the HAWKS compilation.

## 2.12. $\text{HNO}_3$ (molecule 12)

An extensive  $\text{HNO}_3$  line-parameter update was incorporated into the 1992 HITRAN as summarized in Rothman et al,<sup>1</sup> with more details given by Goldman and Rinsland.<sup>107</sup> Subsequently, a number of studies have been completed which provide significant improvements in the quantitative spectral parameters of  $\text{HNO}_3$ . These include the works of Perrin et al,<sup>108–111</sup> Sirota et al,<sup>112</sup> Coudert and Perrin,<sup>113</sup> Goyette et al,<sup>114</sup> Paulse et al,<sup>115</sup> and Wang et al.<sup>116</sup> The only changes from the 1992 HITRAN to 1996 HITRAN databases are in the ( $\nu_5, 2\nu_9$ ) lines. These changes, and the more recent studies are reviewed in a separate paper in this issue by Goldman et al,<sup>117</sup> which also includes



recommendations for the next version of the database. A few of the findings detailed in that paper will be highlighted in the discussion below, which will proceed by the wavelength regions.

In the 25- $\mu\text{m}$  region, both 1992 HITRAN and 1996 HITRAN have only the same  $\nu_9$  ( $458.2\text{ cm}^{-1}$ ) lines, taken from the work of Goldman et al.<sup>118</sup> Hot bands and combination bands associated with the  $\nu_9$  band between  $380$  and  $450\text{ cm}^{-1}$  are currently not contained in HITRAN96. These transitions are easily observable in atmospheric remote sensing measurements<sup>10</sup> and should be included in future releases. New  $\nu_9$  line-intensity measurements by Sirota et al.<sup>112</sup> provide a new band intensity of  $0.842 \times 10^{-17}\text{ cm}^{-1}/(\text{molecule} \cdot \text{cm}^{-2})$  at  $296\text{ K}$ , i.e. a value 0.78 times that in HITRAN. Of the several hot bands that are observable in the  $\nu_9$  region, preliminary line parameters have been generated for  $3\nu_9 - 2\nu_9$  ( $392.4\text{ cm}^{-1}$ ) and  $3\nu_9 - \nu_5$  ( $409.8\text{ cm}^{-1}$ ) by Perrin et al.<sup>111</sup> The generation of line parameters for the stronger hot bands  $\nu_5 - \nu_9$  ( $420.88\text{ cm}^{-1}$ ) and  $2\nu_9 - \nu_9$  ( $438.22\text{ cm}^{-1}$ ), which overlap significantly with the  $\nu_9$  lines, is still to be done. The positions for these bands can be calculated from the spectroscopic constants contained in Refs. 108, 109, and 118.

In the 11- $\mu\text{m}$  region, the line parameters of the  $\text{HNO}_3$  ( $\nu_5, 2\nu_9$ ) lines in the 1996 HITRAN have been taken from the work of Perrin et al.,<sup>109</sup> normalized to the band intensity of Giver et al.<sup>119</sup> It should be noted that approximate parameters of the two 11- $\mu\text{m}$  hot bands from the 1992 HITRAN have not been updated for the 1996 HITRAN. These are listed as  $\nu_5 + \nu_9 - \nu_9$  at  $877.0\text{ cm}^{-1}$  and  $3\nu_9 - \nu_9$  at  $885.0\text{ cm}^{-1}$ . It is recommended that both be removed from the database. The recent studies show that the first one should be eliminated, as there is no hot band there, and the second should be replaced by the strong Q branch at  $885.42\text{ cm}^{-1}$  which has been analyzed as the  $\nu_5 + \nu_9 - \nu_9$  hot band. Preliminary line parameters have been generated (see Goldman et al.<sup>117</sup>), and used, together with the new  $\nu_5, 2\nu_9$  lines, for a number of atmospheric studies, but have not yet been included in HITRAN. Other new findings have been recently reported in the 11- $\mu\text{m}$  region. The weak band observed in spectra near  $830\text{ cm}^{-1}$  has been analyzed as the  $3\nu_9 - \nu_9$  Q branch by Perrin et al.<sup>111</sup> Preliminary line parameters have been generated for the main absorption region of  $828\text{--}832\text{ cm}^{-1}$ . The studies with the new parameters show significantly improved modeling of atmospheric absorption and emission spectra in the 11- $\mu\text{m}$  region.

A number of recent studies have been dedicated to the effects of torsional splitting of  $\text{HNO}_3$  energy levels on the millimeter/submillimeter and infrared spectra, as reported by Goyette et al.,<sup>114</sup> Coudert and Perrin,<sup>113</sup> and by Paulse et al.<sup>115</sup> Except for  $\nu_9 = 3$ , these effects are too small for infrared transitions of interest in atmospheric spectra. None is included at this time in the HITRAN database.

Several recent and ongoing lab efforts have been dedicated to more accurate and consistent determination of the absolute band intensities in the  $\nu_2, \nu_3, \nu_4$  and ( $\nu_5, 2\nu_9$ ) regions. Several aspects of these comparisons are summarized in Goldman et al.<sup>117</sup> The comparisons show significant inconsistencies in the laboratory measurements and improper hot-band correction for the 11- $\mu\text{m}$  ( $\nu_5, 2\nu_9$ ) and 7.6- $\mu\text{m}$  ( $\nu_3, \nu_4$ ) bands, but good consistency for the 5.8- $\mu\text{m}$  ( $\nu_2$ ) bands. The work is not complete, and the recommendation adopted for the 1996 HITRAN, was to normalize to Giver et al.,<sup>119</sup> with the proper hot band correction, using  $Q_V = 1.304$  at  $296\text{ K}$ . Thus, the  $\nu_5, 2\nu_9$  lines of Perrin et al.<sup>109</sup> are normalized to Giver et al.,<sup>119</sup> without the hot bands, while the 11- $\mu\text{m}$  obsolete hot bands are still in the database.

The  $\nu_3$  and  $\nu_4$  band line parameters in the 1992 HITRAN were taken from Perrin et al.,<sup>120</sup> and normalized approximately to the intensity measurements of May and Webster.<sup>121</sup> The subsequent work of Perrin et al.<sup>108</sup> discusses some of the standing theoretical difficulties in the analysis of these bands and provide a new normalization of the same line parameters, with a different intensity ratio of  $\nu_3$  to  $\nu_4$  bands that has not been implemented for the 1996 database.

Improvements in the  $\text{HNO}_3$  line parameters are needed in other spectral regions. Spectral fitting to high-resolution laboratory and field spectra in the  $\nu_8 + \nu_9$  band ( $1205.7\text{ cm}^{-1}$ ) show disagreements between the observed and calculated spectra. Recently, Wang et al.<sup>116</sup> re-analyzed the  $\nu_8 + \nu_9$  region and provided new spectroscopic constants. Spectral fitting using line parameters generated with these constants show improved agreement, but additional work on both positions and intensities is needed for this region.

Laboratory spectra indicate several other bands of importance to atmospheric spectra that have not been analyzed yet. In particular, the  $\nu_1$  band ( $3551.7\text{ cm}^{-1}$ ) is clearly visible in the ATMOS

(Atmospheric Trace Molecule Spectroscopy experiment<sup>122,123</sup>) spectra below  $\sim 30$  km tangent height. The laboratory spectra also show a number of other weak bands of some importance in the atmosphere in the  $700\text{--}1200\text{ cm}^{-1}$  region.

The air-broadened halfwidths and the temperature-dependent coefficient were retained from the 1992 HITRAN (Goldman and Rinsland<sup>107</sup>). Air-broadened widths of  $0.11\text{ cm}^{-1}/\text{atm}$  at  $T = 296\text{ K}$  and the temperature-dependence coefficient of  $n = 0.75$  have been taken from May and Webster.<sup>121</sup> No self-broadened halfwidth value is included; however, it was listed as  $0.73\text{ cm}^{-1}/\text{atm}$  at  $T = 296\text{ K}$  by Goldman and Rinsland.<sup>107</sup>

### 2.13. OH (molecule 13)

The OH  $X^2\Pi\text{--}X^2\Pi$  line parameters in the HITRAN databases have remained essentially unchanged since HITRAN82.<sup>1,2,124</sup> These databases contain the sequences  $\Delta v = 0, 1, 2, 3$  with  $v' = 0, \dots, 9$ , and  $J_{\text{max}} = 15.5$ . The pure rotation portion of both the 1992 and 1996 editions contain only the  $0\text{--}0$  band (for the 3 leading isotopes), and come from (respectively) the 1985 and 1996 versions of the JPL catalog.<sup>125,6</sup> In these editions, the pure-rotation lines include hyperfine structure. The vibration–rotation line parameters are based on the work of Goldman<sup>126</sup> and include main and satellite  $\Lambda$ -doubling transitions (no hyperfine) of  $^{16}\text{OH}$ . The HITRAN editions do not include accuracy information about the OH line parameters, and assume the classical value of 0.5 for the temperature dependent broadening coefficient. In the 1992 edition, a single value for the air-broadened halfwidth had been assumed for all OH lines. In the 1996 edition the air-broadened widths for the pure-rotation lines have been revised.

The transition probabilities for all the lines have been altered slightly in the 1996 version due to an updated version of the partition function (from the TIPS program<sup>127</sup>) used to calculate the transition probability from the intensity. In addition, the line parameters for most of the pure rotation lines from the JPL catalog have revised values for (all except the Q-branch lines between  $0$  and  $7.4\text{ cm}^{-1}$ ) the transition frequency, the intensity, and the lower state energy.

A significant update of the OH  $X^2\Pi\text{--}X^2\Pi$  line parameters beyond HITRAN96, has been recently completed.<sup>128</sup> The new set is composed of the  $\Delta v = 0, \dots, 6$  dipole-allowed transitions between  $v = 0$  and  $v = 10$ , with  $J$  up to a maximum value of 49.5, with low intensity cutoffs applicable to both atmospheric and high temperature studies. In all, line parameters for 56 separate bands were calculated: 11 pure rotation bands and 45 vibration–rotation bands with both main and satellite branches. The transition frequencies were determined from three different sets<sup>129–131</sup> of calculated term values in order to have the most accurate set of line positions over a wide range of both vibration and rotation quantum numbers. An improved method for calculating vibrational wavefunctions for the  $X^2\Pi$  states of OH has been adopted,<sup>132</sup> and as used in conjunction with the latest OH dipole-moment function,<sup>133</sup> yields improved transition-moment integrals, leading to more accurate line intensities. In addition to updating the line parameters themselves, estimates have been included for the uncertainties associated with the line parameters using the HITRAN accuracy indices.

The single value ( $0.083\text{ cm}^{-1}/\text{atm}$ ) for the air-broadened halfwidth assigned to all OH lines in the 1992 HITRAN database, and all vibration–rotation lines in the 1996 edition, dates back to Bastard et al.<sup>134</sup> This corresponds to the measured halfwidths of the transitions around 13.4 GHz between the four levels with  $v = 0$  and  $J = 7/2$ . A number of recent papers (Chance et al,<sup>135</sup> Schiffman and Nesbitt<sup>136</sup> (who also presented evidence for collisional narrowing), and Park et al<sup>137</sup>) extend the range of  $J$  values whose halfwidths are measured, and also cast doubts about the accuracy of the results of Bastard et al.<sup>134</sup> The measured halfwidths show a linearly decreasing dependence on  $N$  that is consistent with theory. Averaging these experimental values yielded  $T = 296\text{ K}$  halfwidths for  $N = 1$  to 4 ( $0.095, 0.086, 0.0065, \text{ and } 0.053\text{ cm}^{-1}/\text{atm}$ , respectively). Extending linearly to  $N = 5$  leads to the halfwidth of  $0.040\text{ cm}^{-1}/\text{atm}$ , which has been assigned to all transitions with lower levels  $N'' > 4$ .

The temperature dependence of the halfwidths remains much less studied. A theoretical study by Buffa et al<sup>138</sup> has calculated the broadening coefficient for four different strong pure rotation OH lines using the impact approximation. The values vary between 0.59 and 0.72, from which the average value of 0.66 was adopted for all lines.

Complete details on the procedures used for the new data set are given in Ref. 128. Due to a lack of available experimental data, no values were assigned for the air-broadened pressure shift, nor for the self-broadened halfwidth. Re-measurement of the  $118\text{ cm}^{-1}$  air-broadening coefficient is in progress (K. Chance, private communication, 1997).

It is also of interest that line parameters for the  $A^2\Sigma-X^2\Pi(0,0)$  OH band in the  $3085\text{ \AA}$  region have been available<sup>139</sup> but not yet incorporated into the HITRAN database. Some of these calculations have been updated recently by Cageao et al.<sup>140</sup>

#### 2.14. HF (molecule 14)

The only modifications to hydrogen fluoride have been in the pure rotational region. The positions for the rotational transitions up to R4 have been updated to those measured by Nolt et al.<sup>141</sup> The strengths of the rotational transitions are taken from the compilation of Chance et al.<sup>39</sup> which have been calculated based on the constants of Ref. 141. The pressure broadening widths for the rotational transitions are taken from the measurements of Pine and Looney<sup>142</sup> where they exist. The parameters for the rotational hot bands are unchanged from the HITRAN92 release.

#### 2.15. HCl (molecule 15)

Similar changes to the rotational band have been made to HCl that have been made to HF. The positions are updated to those measured by Nolt et al.,<sup>141</sup> the strengths are calculated based on Nolt measurements and accurate dipole-moment measurements,<sup>39</sup> and the pressure-broadening parameters are taken from Pine and Looney.<sup>142</sup> For rotational transitions where no updated positions or pressure broadening were measured, the HITRAN92 values were retained.

Accurate line positions and molecular constants of the (1-0) band of  $H^{35}Cl$  and  $H^{37}Cl$  have been derived recently from high-resolution laboratory measurements by Rinsland et al.<sup>143</sup> These values are significantly different from the current HITRAN values for  $J > 10$ , and will be incorporated in future editions.

#### 2.16. HBr (molecule 16)

The positions and strengths for the rotational band of HBr are taken from the compilation of Chance et al.<sup>39</sup> The positions up to  $200\text{ cm}^{-1}$  are those from the measurements of DiLonardo et al.<sup>144</sup> and the strengths are based on these measurements and accurate dipole-moment measurements. The parameters for lines above  $200\text{ cm}^{-1}$  are taken directly from HITRAN92. All pressure-broadening terms are taken directly from HITRAN92.

New parameters have recently become available for the fundamental, which include hyperfine structure, as described in an accompanying article in this issue by Coffey et al.<sup>145</sup> The hyperfine structure is required for modeling both pure-rotation and vibration-rotation low- $J$  lines at high resolution. These data will be incorporated in a future release of HITRAN.

#### 2.17. HI (molecule 17)

There have been no updates of this species for the 1996 database. However, it has been found that the HITRAN line-position calculations are not consistent with the Dunham coefficients quoted in Ref. 1 as the source for the calculations. For example, differences of  $\pm 0.004\text{ cm}^{-1}$  are observed for the (0-1) band. Also, the (1-0) intensities are not consistent with the quoted laboratory measurements.

It should also be noted that the hyperfine splitting in HI is the largest of the hydrogen halide molecules, and is required for low- $J$  lines. The hfs line parameters and molecular constants for both the pure-rotation (0-0) and the fundamental band (1-0) have recently been generated.<sup>146</sup> These data will be made available for the next edition of HITRAN.

#### 2.18. ClO (molecule 18)

The HITRAN96 line parameters for the  $X^2\Pi-X^2\Pi(1-0)$  bands of  $^{35}ClO$  and  $^{37}ClO$  were calculated as described by Goldman et al.<sup>147</sup> The improvements were made possible on the basis of the work of Burkholder et al.<sup>148,149</sup> and yielded more accurate line positions and intensities than previously available for HITRAN92.

The absolute wavenumber accuracy of these lines is estimated as  $\pm 0.0003 \text{ cm}^{-1}$ . The integrated band intensity has been normalized to the laboratory value of  $S = 9.68 \pm 1.45(2\sigma) \text{ cm}^{-2}/\text{atm}$  at 296 K, following Burkholder et al.<sup>149</sup> This corresponds to  $3.904 \times 10^{-19} \text{ cm}^{-1}/(\text{molecule cm}^{-2})$  at 296 K, compared to  $4.884 \times 10^{-19} \text{ cm}^{-1}/(\text{molecule cm}^{-2})$  adopted for HITRAN92. The air-broadened halfwidth for all lines was taken to be the same as that for the measured  $\text{N}_2$  broadening,<sup>147</sup> i.e.  $\gamma = 0.093 \pm 0.018 (2\sigma) \text{ cm}^{-1}/\text{atm}$  at 296 K, and for the temperature dependence  $n = 0.75$  was adopted.<sup>150</sup>

The linear and quadratic Herman–Wallis coefficients of Burkholder et al.<sup>148</sup> were  $\alpha_{10} = 0.00563(47)$  and  $\beta_{10} = 0.23(50) \times 10^{-4}$ , respectively. Because of the large uncertainty in  $\beta_{10}$ , it was not used for the line-parameter calculations.

The search for ClO (1–0) features in the atmospheric absorption solar spectrum conducted by Rinsland and Goldman<sup>150</sup> showed that optimal detection could be achieved with a few lines near  $833 \text{ cm}^{-1}$ . A tentative identification of these lines from solar spectra in the Arctic atmosphere has been published by Notholt et al.<sup>151</sup> Quantitative measurements of these lines from high signal to noise spectra have been reported by Bell et al.<sup>152</sup>

New experimental line intensities have been recently provided by Birk and Wagner.<sup>153</sup> The newly measured line strengths were used to determine a vibrational transition dipole moment  $-0.03224 \pm 0.00047\text{D}$ , as well as the linear and quadratic Herman–Wallis coefficients,  $+0.00684 \pm 0.00080$  and  $+(1.56 \pm 0.64) \times 10^{-4}$  respectively, where quoted errors are  $1\sigma$ . The corresponding measured band intensity is  $9.01 \pm 0.27 \text{ cm}^{-2}/\text{atm}$  at 296 K, and thus smaller by  $\sim 7\%$  from the HITRAN value. Individual lines, however, are smaller by up to  $\sim 10\%$  in intensity. These have not been yet incorporated into the HITRAN database.

The pure rotation ClO lines have not been updated from 1992 to 1996. They remain from the JPL catalogs of 1985, as adopted in HITRAN86. The latest JPL catalog,<sup>6</sup> however, contains both  $v = 0$  and  $v = 1$  lines, while the HITRAN database contains only  $v = 0$  lines. The updated parameters of the ClO lines used for Microwave Limb and Sounder (MLS) on the Upper Atmosphere Research Satellite (UARS), as described by Waters et al.<sup>154</sup> and by Oh and Cohen<sup>155</sup> have not been yet incorporated into the HITRAN database.

It should be also noted that the transition probabilities listed in the HITRAN96 database for ClO  $\Lambda$ -doubling transitions are too large by a factor of 4. This is due to the extra factor of the nuclear spin degeneracy  $\Pi(2I + 1)$  (where  $I(^{35}\text{Cl}) = I(^{37}\text{Cl}) = 3/2$ ,  $I(^{16}\text{O}) = 0$ ) in the conversion from  $S$  to  $|R|^2$ . The transition probabilities for the hyperfine transitions need the same correction.

A recent paper by Donovan et al.<sup>156</sup> clearly shows several IR ClO absorption features in ground-based solar spectra recorded from the high Arctic.

### 2.19. OCS (molecule 19)

There have been no updates of carbonyl sulfide for the 1996 database on the CD-ROM. However, an extensive self-consistent IR linelist is now available from Fayt et al.<sup>157</sup> These parameters have been amalgamated with the pure rotation bands already existing in HITRAN to form a complete set that is available on the HITRAN web-site and represents a total replacement for OCS. It should be remarked that an additional isotopomer is now included in this list for the first time, namely  $^{16}\text{O}^{12}\text{C}^{33}\text{S}$  (623 in AFGL code). In keeping with the HITRAN system of numbering isotopes sequentially based on terrestrial abundances, the isotope  $^{18}\text{O}^{12}\text{C}^{32}\text{S}$  (822) which has been labeled 4 including the current edition of HITRAN, becomes number 5 hereafter.

### 2.20. H<sub>2</sub>CO (molecule 20)

There have been no updates of this species for the 1996 database. It should be remarked that in the current database, lines sharing quantum identifications (blends) have intensities corresponding to the total intensity of the particular feature.

### 2.21. HOCl (molecule 21)

No changes have been made to the HOCl compilation since the 1992 release. Improved positions for the rotational band of HOCl exist<sup>158</sup> that should be included in future releases of HITRAN.

### 2.22. $N_2$ (molecule 22)

There have been no changes to the  $^{14}N_2$  (1–0) vibration–rotation quadrupole band parameters on HITRAN 1996 relative to those on the HITRAN 1992 compilation,<sup>1</sup> except for minor updates to the calculated transition-probability squared parameter. See Rinsland et al<sup>159</sup> for a description of the parameters in HITRAN 1992 and 1996.

### 2.23. $HCN$ (molecule 23)

There have been no updates of this species for the 1996 database.

### 2.24. $CH_3Cl$ (molecule 24)

There have been no updates of this species for the 1996 database.

### 2.25. $H_2O_2$ (molecule 25)

There have been no updates of this species for the 1996 edition. A new and complete compilation of the rotational transitions have been assembled from recent laboratory data.<sup>160</sup> This updated compilation can now be obtained from the HITRAN web site and will be in future editions of HITRAN.

The strengths of the  $\nu_6$  band of hydrogen peroxide are currently based on laboratory measurements where the concentration of  $H_2O_2$  was determined by ultraviolet absorption at 254 nm.<sup>161</sup> More recent experiments obtained absolute strengths which are roughly one half as strong as those currently used.<sup>162</sup> This discrepancy needs to be resolved as it has a significant effect on the interpretation of atmospheric retrievals of  $H_2O_2$ .<sup>163</sup>

### 2.26. $C_2H_2$ (molecule 26)

The positions and intensities of the acetylene bands in the 3- and 13- $\mu m$  regions have been updated. At 13  $\mu m$ , the line positions and intensities for the  $\nu_5$  fundamental, 4 hot bands of  $^{12}C_2H_2$  (lower states  $\nu_4$  or  $\nu_5$ ), and the  $\nu_5$  fundamental of  $^{12}C^{13}CH_2$  were replaced with the values reported by Hillman et al<sup>164</sup> and Weber,<sup>165</sup> respectively. The error code for positions corresponds to the overall standard deviations of the fits. Error estimates for the intensities were more conservatively estimated.

The positions and intensities of the 3- $\mu m$   $^{12}C_2H_2$  bands  $\nu_3$  and  $\nu_2 + \nu_4 + \nu_5$ , which are strongly coupled by a Fermi-type interaction, were updated based on the work of Vander Auwera et al.<sup>166</sup> The parameters for the  $\nu_3$  band of  $^{12}C^{13}CH_2$  were not updated for HITRAN 1996.

Air-broadening coefficients for the updated bands are based on the tunable diode laser measurements of  $(\nu_4 + \nu_5)^0$  band of  $^{12}C_2H_2$  reported by Devi et al.<sup>167</sup> Values are assumed to vary as a function of the absolute value of the running index,  $|m|$ . Self-broadening coefficients for the updated bands were calculated on the basis of the expression reported by Varanasi et al.<sup>168</sup>

Recently, Babay et al<sup>169</sup> reported measurements of pressure-broadening and pressure-shift coefficients for R- and Q-branch lines in the  $\nu_5$  band broadened by several gases. The shifts in  $N_2$  are small but show a steady increase in magnitude with increasing  $J$  in the R branch (from  $-0.74 \times 10^{-3}$  at R3 to  $-2.34 \times 10^{-3}$ , in  $cm^{-1}/atm$ ). It is anticipated that the measured values will be added to the next HITRAN update.

### 2.27. $C_2H_6$ (molecule 27)

There have been no changes to the ethane parameters on HITRAN 1996 relative to those on the HITRAN 1992 compilation.<sup>1</sup> Concerns noted previously<sup>1,170</sup> regarding the normalization of the intensities of the  $\nu_9$  band at  $822\text{ cm}^{-1}$  remain unresolved.

The compilation still contains a calculation of the Q sub-branches of the  $\nu_7$  band near  $3000\text{ cm}^{-1}$  based on the study by Dang Nhu and Goldman.<sup>171</sup> Improved predictions for the  $^pQ_3$  and  $^rQ_0$  sub-branches have been used in several recent atmospheric studies.<sup>172</sup> It is anticipated that the parameters for one or both of these sub-branches will be incorporated in the next HITRAN update on the basis of the results from these atmospheric studies or the recent laboratory measurements by Pine and Stone.<sup>173</sup> The analysis of these latter observations includes modeling of tunneling and A1–A2 splittings on the basis of sub-Doppler measurements.

The C–H stretch region of ethane (2870–3050  $\text{cm}^{-1}$ ) produces numerous strong absorptions<sup>174</sup> not yet incorporated in HITRAN. Missing lines of  $\text{C}_2\text{H}_6$  are observable in solar occultation spectra, for example, in the region of the Q branches of  $\text{CH}_3\text{Cl}$  (G.C. Toon, Jet Propulsion Laboratory, private communication, 1997).

### 2.28. $\text{PH}_3$ (molecule 28)

There have been no updates of this species for the 1996 database.

### 2.29. $\text{COF}_2$ (molecule 29)

The  $\nu_4$  band at 8  $\mu\text{m}$  has been totally updated for this edition. This band is the strongest of the IR bands on the compilation. The  $2\nu_5$  band in the same region has been added (J.-F. Flaud, private communication, 1994).

The lower-state rotational assignments of  $\nu_1$  and  $2\nu_2$  have been discovered to be incorrectly transcribed in the current edition of HITRAN, i.e.,  $J''$ ,  $K_a''$ ,  $K_c''$  have been inadvertently set to  $J'$ ,  $K_a'$ ,  $K_c'$  for these bands.

### 2.30. $\text{SF}_6$ (molecule 30)

There have been no changes to the  $\text{SF}_6$  parameters on HITRAN 1996 relative to those on the HITRAN 1992 compilation,<sup>1</sup> except for the inclusion of nonzero values for the calculated transition probability squared parameter. See Rinsland et al<sup>159</sup> for a description of the parameters in HITRAN 1992 and 1996. Note that these parameters include only the strong  $\nu_3$  band of  $^{32}\text{SF}_6$  at 948.1  $\text{cm}^{-1}$ . Because of the absence of parameters for the associated hot bands, which contribute about half of the total absorption under stratospheric conditions,<sup>175</sup> it is strongly recommended that users adopt the  $\text{SF}_6$  absorption cross-sections in HITRAN rather than the parameters contained in the line-by-line portion of the compilation.

### 2.31. $\text{H}_2\text{S}$ (molecule 31)

The line parameters for the  $\nu_1$  and  $\nu_3$  fundamentals and the  $2\nu_2$  overtone of  $\text{H}_2^{32}\text{S}$ ,  $\text{H}_2^{34}\text{S}$  and  $\text{H}_2^{33}\text{S}$  at 4  $\mu\text{m}$  have been included for the first time. The prediction for these parameters were generated as part of an extensive study of hydrogen sulfide<sup>176</sup> from 1 to 5  $\mu\text{m}$ . The constants for the line positions and intensities for the HITRAN list are a combination of the earlier results of Lechuga-Fossat et al<sup>177</sup> and preliminary results from new work that have since been completed.<sup>178</sup> Air- and self-broadened widths were set respectively to constant values of 0.08 and 0.175  $\text{cm}^{-1}/\text{atm}$  with the temperature-dependence coefficient of 0.75. However, completed results of Brown et al<sup>178</sup> have produced a prediction of both the 4- and 2.7- $\mu\text{m}$  region that includes 24 bands (from the ground state and several of the strongest hot bands for three isotopes); these appear on the GEISA databank.<sup>50</sup>

### 2.32. $\text{HCOOH}$ (molecule 32)

The formic acid ( $\text{HCOOH}$ ) line parameters for  $\nu_6$  included in HITRAN96 originate from the 1984 work of Goldman and Gillis.<sup>179</sup> For that work, a listing of Hamiltonian constants and assigned lines for the  $\nu_6$  band of  $\text{HCCOH}$  was provided (E. Weinberger, private communication, 1983). The Hamiltonian used is the Watson S-form for  $\text{P}^2$  and  $\text{P}^4$  terms and the Watson A-form for  $\text{P}^6$  terms. The  $\nu_6$  band is predominantly type A but also includes type B and C transitions as well as electric-dipole forbidden Q-branch transitions. The contributions from each transition type was estimated to be proportional to the number of lines assigned to that type.

Because our calculation did not handle forbidden transitions, the intensity of the forbidden transitions was given to the type A transitions. Line parameters were generated from 1000 to 1200  $\text{cm}^{-1}$ ,  $T = 296 \text{ K}$ ,  $S = 225 \text{ cm}^{-2}/\text{atm}$ . A-, B-, and C-type transitions were assigned relative strengths of 79%, 6%, and 15%, respectively. This intensity gives the 28  $\text{cm}^{-2}/\text{atm}$  intensity in the Q-branch region used by Goldman et al.<sup>180</sup>

Comparisons of a University of Denver laboratory spectrum (1.6 torr in a 10-cm cell at room temperature, 0.05  $\text{cm}^{-1}$  resolution) and a calculated spectrum were made.<sup>175</sup> For the calculated

spectrum an arbitrary self-broadening Lorentz halfwidth of  $0.4 \text{ cm}^{-1}/\text{atm}$  was chosen and the intensities of all lines were multiplied by 2.0. This gave good agreement in the Q-branch region. Agreement throughout the rest of the band is somewhat poorer for three reasons: (1) perturbations of  $\nu_6$  line positions (some exceeding  $0.1 \text{ cm}^{-1}$ ) are ignored because they have not been analyzed; (2) no effort has been made to account for a significant part of the intensity (perhaps 10 or 20%); and (3) the value of the Lorentz halfwidth is unknown. Because of these shortcomings, it is not surprising that the observed and calculated spectra agree in some regions and disagree in others.

Based on the good match between the observed and calculated Q-branch intensities using the scale factor of 2.0 and  $0.4 \text{ cm}^{-1}/\text{atm}$  halfwidth, HCOOH  $\nu_6$  line parameters were regenerated in HITRAN format. These line parameters include all lines stronger than 1% of the strongest line. The intensities of all lines on the file sum to  $1.757 \times 10^{-17} \text{ cm}^{-1}/(\text{molecule cm}^{-2})$  at 296 K. It should be noted that the calculated Q-branch intensity is approximately twice as large as that used by Goldman et al.<sup>180</sup> The air-broadened halfwidth on the HITRAN database is  $0.1 \text{ cm}^{-1}/\text{atm}$  at 296 K, with temperature dependence of  $n = 0.75$ , for all lines. The self-broadened halfwidth is not given.

These HCOOH line parameters have been used successfully in a number of atmospheric studies, such as the measurement of HCOOH in surface-level air based on Kitt Peak black-body spectra by Rinsland and Goldman<sup>181</sup> and the analysis of biomass fire spectra by Yokelson et al.<sup>182</sup>

More recent spectroscopic constants and line positions (but not intensities), for both  $\nu_6$  and  $\nu_8$ , have been published by Bumgarner et al.<sup>183</sup> These data have not been applied yet for updating the HCOOH line parameters. Line positions and intensities for the pure rotation spectrum of formic acid are available from the work of Vander Auwera.<sup>184</sup>

### 2.33. $\text{HO}_2$ (molecule 33)

The  $\text{HO}_2$  radical is a new addition to HITRAN. The positions for the pure rotational transitions are calculated based on the measurements of Chance et al.<sup>185</sup> The strengths are calculated using the dipole-moment measurements of Saito and Matsumura.<sup>186</sup> All of the pressure broadening parameters for these transitions, which cover the spectral range up to  $334 \text{ cm}^{-1}$ , are set to the value measured for the  $83.32 \text{ cm}^{-1}$  transitions.<sup>187</sup>

The three fundamentals,  $\nu_3$  at  $1098 \text{ cm}^{-1}$ ,  $\nu_2$  at  $1392 \text{ cm}^{-1}$ , and  $\nu_1$  at  $3436 \text{ cm}^{-1}$ , have been added to HITRAN. The positions and energy levels for these bands come respectively from Nelson and Zahniser,<sup>188</sup> Nagai et al.,<sup>189</sup> and Yamada et al.<sup>190</sup> The intensities for all three fundamentals have been calculated by Zahniser et al.,<sup>191</sup> while a default value of  $0.108 \text{ cm}^{-1}/\text{atm}$  for the air-broadened halfwidths based on the work of Nelson and Zahniser<sup>192</sup> has been adopted.

### 2.34. $\text{O}$ (specie 34)

Atomic oxygen is a new addition to HITRAN. Only 2 transitions in the far-infrared ( $68.716508$  and  $158.268741 \text{ cm}^{-1}$ ) have been compiled. Both of these transitions have significant optical depths in the mesosphere and thermosphere and have been observed in remote sensing atmospheric spectra.<sup>10</sup> The positions have been accurately measured by Zink et al.<sup>193</sup> The strengths for these two lines were obtained from the JPL catalogue<sup>6</sup> and the pressure broadening values were arbitrarily set to  $0.05 \text{ cm}^{-1}/\text{atm}$ . As this entry in HITRAN is not a molecule, the quantum fields ( $iv'$ ,  $iv''$ ,  $q'$ , and  $q''$  of Table 3) for these two transitions do not have any definition.

### 2.35. $\text{ClONO}_2$ (molecule 35)

Chlorine nitrate lines for the  $\nu_4$  band from  $763$  to  $798 \text{ cm}^{-1}$  have been placed on the line-by-line HITRAN database. The compilation contains over 32 000 lines for this "heavy" molecular species and the positions come from an analysis of the data of Bell et al.<sup>194</sup> The intensities and halfwidths were the result of a study by Goldman et al.<sup>195</sup>

The above line parameters are provided in addition to the cross-sections (see Sec. 3). It must be reiterated that, analogous to the situation for  $\text{SF}_6$ , the use of these discrete line parameters must be made with caution: they lack significant hot bands and also do not provide the modeler with pressure effects such as line coupling. For scenarios where these effects are likely to be important, we recommend using the  $\text{ClONO}_2$  cross-sections instead which are provided on the compilation. A detailed review of the  $\text{ClONO}_2$  line parameters and cross-sections on 1996 HITRAN is given in

a separate paper by Goldman et al.<sup>196</sup> This paper also discusses recent results and ongoing studies not yet included in the database, as well as needs for further improvements.

### 2.36. $\text{NO}^+$ (molecule 36)

$\text{NO}^+$  represents the first ionic species to be placed on the HITRAN database. It is of particular interest in upper atmosphere emission problems; production of  $\text{NO}^+$  is created in vibrationally excited states by molecule-ion collisions, especially during aurora (see, for example, Chapter 12 of Jursa<sup>197</sup>). For this objective, the  $\Delta v = 1$  series, with  $v'' = 0$  to 5, has been calculated and placed in the supplemental directory of HAWKS. The ion is in the  $^1\Sigma^+$  ground electronic state and thus the format for the rotational quanta is represented under *group 2* of Table 4.

The line positions were calculated using the spectroscopic constants of Billingsley<sup>198</sup> and Huber and Herzberg.<sup>199</sup> Higher-order terms have been introduced based on the analysis by D.R. Smith of the AF Geophysics Directorate of band heads seen in interferometer data taken aboard the space shuttle.<sup>200</sup> The intensities have been calculated based on the dipole-matrix elements of Werner and Rosmus.<sup>201</sup> A default value of  $0.06 \text{ cm}^{-1}/\text{atm}$  was chosen for the air-broadened halfwidth, although most applications are not expected to require operation in the Lorentzian regime.

### 2.37. $\text{HOBr}$ (molecule 37)

Line parameters are given in the supplemental directory for the pure rotation (000–000) transitions of hypobromous acid for both isotopes of bromine. The molecular parameters are from high-resolution IR spectra of Cohen et al.,<sup>202</sup> and the calculation uses pure rotational data and the dipole moment from Koga et al.<sup>203</sup> The spectral coverage is from 0 to  $316 \text{ cm}^{-1}$ , but the user is cautioned that the maximum value of  $K_a$  observed in the infrared study is 5 and that predictions of higher  $K_a$  transitions are extrapolations which may be less accurate than their calculated uncertainties indicate. A constant value of  $0.06 \text{ cm}^{-1}/\text{atm}$  has been assumed for the air-broadened halfwidth with a temperature-dependence coefficient  $n = 0.67$ .

## 3. IR CROSS-SECTIONS

When it became evident that data on individual spectral lines belonging to certain heavy molecular species such as chlorofluorocarbons (CFCs), hydrochlorofluorocarbons (HCFCs),  $\text{SF}_6$ , and oxides of nitrogen (for example,  $\text{N}_2\text{O}_3$ ) could not be reasonably characterized and tabulated in the traditional HITRAN format, the approach to report spectral absorption cross-sections measured at atmospheric conditions was adopted.<sup>204</sup> The previous two editions of the HITRAN compilation<sup>1,2</sup> introduced temperature-dependent cross-sections but neglected the effect of pressure-broadening.<sup>205</sup> The increasing use of the cross-sections in atmospheric modeling, especially for remote sensing, has engendered numerous laboratory programs for acquiring these data sets.<sup>206</sup>

The absorption cross-section,  $k_\nu$  ( $\text{cm}^2 \text{ molecule}^{-1}$ ), is defined as

$$k_\nu = (-\ln \tau_\nu)/\eta L \quad (1)$$

in terms of the spectral transmittance  $\tau_\nu$  at the wavenumber  $\nu$ , temperature  $T$  and pressure  $P$ , of column density  $\eta$  (in  $\text{molecule}/\text{cm}^3$ ) along an optical path of length  $L$  (cm). It is presented at several  $(T, P)$  combinations representing atmospheric layers given in commonly tabulated atmospheric models as well as conditions encountered in the polar regions.

Table 8 shows the datasets that have been added since the last edition of HITRAN. The cross-sections of CFC-11, CFC-12, HCFC-22, and  $\text{SF}_6$  were provided by Varanasi et al.<sup>207,175</sup> These cross-sections, as well as other cross-section data in HITRAN, were measured using high-resolution Fourier-transform spectrometers. For the four species above, a spectral resolution of  $0.03 \text{ cm}^{-1}$  was adequate for most of the broadening pressures used in the experiments, while at 40 torr and lower,  $0.01 \text{ cm}^{-1}$  was used. The data were obtained at temperatures between 200 and 296 K and are free from instrumental distortion, since the spectra were recorded at a spectral resolution that was sufficiently high at each broadening pressure used. The bands of CFC-12 were measured in the  $810\text{--}965 \text{ cm}^{-1}$  and  $1040\text{--}1200 \text{ cm}^{-1}$  regions at temperatures ranging from 216 to 296 K and pressures from 170 to 760 torr. The bands of CFC-11 in the  $810\text{--}880 \text{ cm}^{-1}$  and  $1050\text{--}1120 \text{ cm}^{-1}$  regions were measured at temperatures in the range from 201 to 296 K and pressures between 40 and



Table 8. IR cross-section data added to 1996 edition

Molecule	Wavenumber range (cm <sup>-1</sup> )	Temperature range (K)	Pressure range (torr)	Number of <i>P, T</i> sets
CCl <sub>4</sub>	770–810	170–310	—	12†
CFC-11 (CCl <sub>3</sub> F)	810–880 1050–1120	201–296 201–296	40–760 40–760	33 33
CFC-12 (CCl <sub>2</sub> F <sub>2</sub> )	810–965 1040–1200	216–296 216–296	170–760 170–760	15 15
HCFC-22 (CHClF <sub>2</sub> )	760–860	216–294	40–760	7
SF <sub>6</sub>	925–955	216–295	25–760	7
ClONO <sub>2</sub>	1265–1325	201–222	—	3†

† Only temperature sets.

760 torr. Measurements of HCFC-22 and SF<sub>6</sub> were made for bands in the 760–860 cm<sup>-1</sup> and 925–955 cm<sup>-1</sup> regions, respectively at temperatures in the range from 216 to 296 K and pressures from 40 to 760 torr.

The cross-sections for the ClONO<sub>2</sub> in the 1265–1325 cm<sup>-1</sup> region at 201, 211, and 222 K were provided by Orphal et al.<sup>208</sup> They are made available along with those at 216 and 296 K retained from the earlier editions of the database. The cross-sections of CCl<sub>4</sub> listed at room temperature in the previous editions of the database have been augmented in this edition with data at 170, 223, 248, 273, 298, and 310 K reported by Orlando et al.<sup>209</sup>

The data are presented as separate files for each individual molecule. Each portion of the file corresponding to a particular temperature–pressure pair begins with a header (see Table 9) that contains information on the wavenumber (cm<sup>-1</sup>) range, number of cross-section data in this set, temperature (K), and pressure (torr). The maximum value of the absorption cross-section (cm<sup>2</sup>/molecule) and additional information containing the reference to that observation are also presented in each header. The wavenumber spacing  $\Delta\nu$  of the cross-section listings is uniform for each of the pressure-temperature sets, and is determined by taking the difference between the maximum and minimum wavenumber and dividing by the number of points *N* (cross-section data in this set), i.e.  $\Delta\nu = (v_{\max} - v_{\min})/(N - 1)$ .

The increased use of hydrofluorocarbons (HFCs), which are expected to replace CFCs and HCFCs in many applications in order to reduce the deleterious effects of released chlorine on the atmospheric ozone layer, will add another absorber in the IR “window” region, 8–12  $\mu\text{m}$ . Smith et al.<sup>210</sup> have recently determined the cross-sections of HFC-134 (CHF<sub>2</sub>CHF<sub>2</sub>) and HFC-143a (CF<sub>3</sub>CH<sub>3</sub>), and these data will be available on a future edition of HITRAN.

#### 4. ULTRAVIOLET DATASETS

##### 4.1. Line-by-line parameters

The file, 07\_schum.par located in the subdirectory \UV\LBL, contains 11 020 lines of the O<sub>2</sub> Schumann–Runge system in HITRAN format. This system represents transitions between the B<sup>3</sup>Σ<sub>u</sub><sup>-</sup> electronic state and the X<sup>3</sup>Σ<sub>g</sub><sup>-</sup> ground electronic state. Nearly all of the line parameters are based on high-resolution absorption measurements from two groups: one from the Australian National University,<sup>211</sup> and the other from the Harvard-Smithsonian Center for Astrophysics.<sup>212</sup> A description of this line compilation is given in Minschwaner et al.,<sup>213</sup> which also contains relevant citations for measured quantities. The file lists all principal branch triplets over the range  $v' = 0-19$ ,  $v'' = 0-2$ ,  $N'' = 1-51$ . Satellite branches are included for  $v' = 0-19$ ,  $v'' = 0$ ,  $N'' = 1-15$ . Principal branches for the <sup>16</sup>O<sup>18</sup>O isotopomer are listed for  $v' = 2-16$ ,  $v'' = 0$ ,  $N'' = 0-24$ .

There are two caveats for the user associated with these data: (1) Line positions of high  $N''$  are not reliable. Maximum  $N''$  values are limited by values of the cross-sections of those lines at the highest temperature. (2) There is an error in the indexing of the lower “global” quantum state, in that the B<sup>3</sup>Σ<sub>u</sub><sup>-</sup> electronic state was referred to rather than the X<sup>3</sup>Σ<sub>g</sub><sup>-</sup> state.

Table 9. File structure and format (in FORTRAN) for cross-section files

Molecule	$v_{\text{init}}$ (1) ( $\text{cm}^{-1}$ )	$v_{\text{final}}$ (1) ( $\text{cm}^{-1}$ )	Number of points	$T$ (1) (K)	$P$ (1) (torr)	$\sigma_{\text{max}}$	Reference + Additional Info.
A10	F10.4	F10.4	I10	F10.4	F10.4	E10.3	3A10
Cross-sections (10 per line) [ $\text{cm}^2/\text{molecule @ } T(1),P(1)$ ] (10E10.3)							
Molecule	$v_{\text{init}}$ (1) ( $\text{cm}^{-1}$ )	$v_{\text{final}}$ (1) ( $\text{cm}^{-1}$ )	Number of points	$T$ (2) (K)	$P$ (2) (torr)	$\sigma_{\text{max}}$	Reference + Additional Info.
A10	F10.4	F10.4	I10	F10.4	F10.4	E10.3	3A10
Cross-sections (10 per line) [ $\text{cm}^2/\text{molecule @ } T(2),P(2)$ ] (10E10.3)							
⚡⚡⚡							
Molecule	$v_{\text{init}}$ (2) ( $\text{cm}^{-1}$ )	$v_{\text{final}}$ (2) ( $\text{cm}^{-1}$ )	Number of points	$T$ (1) (K)	$P$ (1) (torr)	$\sigma_{\text{max}}$	Reference + Additional Info.
A10	F10.4	F10.4	I10	F10.4	F10.4	E10.3	3A10
Cross-sections (10 per line) [ $\text{cm}^2/\text{molecule @ } T(1),P(1)$ ] (10E10.3)							
Molecule	$v_{\text{init}}$ (2) ( $\text{cm}^{-1}$ )	$v_{\text{final}}$ (2) ( $\text{cm}^{-1}$ )	Number of points	$T$ (2) (K)	$P$ (2) (torr)	$\sigma_{\text{max}}$	Reference + Additional Info.
A10	F10.4	F10.4	I10	F10.4	F10.4	E10.3	3A10
Cross-sections (10 per line) [ $\text{cm}^2/\text{molecule @ } T(2),P(2)$ ] (10E10.3)							

*Note:* Data sets surviving from earlier editions are only given for different temperatures, not pressure-temperature pairs.

Line positions are calculated from energy level differences based on measured molecular constants. Line intensities are obtained from measured band oscillator strengths normalized according to Hönl–London factors, assuming a Boltzmann distribution of energies at 296 K. The tabulated widths are measured predissociation widths at zero pressure. Pressure-broadened widths are not listed because this effect is comparable to predissociation broadening only for pressures larger than 1 atm. (The self-broadening coefficient is on the order of  $0.20 \text{ cm}^{-1}/\text{atm}$ .<sup>214</sup>) Use of a Voigt line shape, composed of a thermal-broadened Doppler profile and a predissociation-broadened Lorentz profile, is adequate for most atmospheric applications. Line intensities at temperatures other than 296 K can be obtained using the energy of the lower quantum state in conjunction with the temperature dependence of the total internal partition sum. The latter can be obtained using the program TIPS.FOR discussed below in Sec. 7.2.

#### 4.2. UV cross-sections

Cross-sections for two species, nitrous oxide and sulfur dioxide, have been placed in the subdirectory UV/XSECT. These cross-sections have been cast into the same format as the IR cross-section data described above (see Table 9); transforming the UV data into the equal-interval wavenumber scale required interpolation from measured wavelength scales employed in the UV.

High-resolution cross-section measurements of  $\text{N}_2\text{O}$  at 295–299 K have been performed in the wavelength region 170–222 nm with a 6.65 m scanning spectrometer of sufficient resolution to yield

cross-sections that are independent of the instrumental function.<sup>215</sup> The measured cross-sections are available throughout the region 44925–58955  $\text{cm}^{-1}$  at intervals of 0.1–0.2  $\text{cm}^{-1}$ . Previously unresolved details of the banded structure which is superimposed on the continuous absorption in the region 174–190 nm are observed.

Laboratory measurements at high resolution of the absorption cross-section of  $\text{SO}_2$  at the temperature 213 K have been performed in the wavelength region 172–240 nm with a 6.65 m scanning spectrometer operated at an instrumental width of 0.002 nm.<sup>216</sup> The measured cross-sections are available throughout the region 172–240 nm at wavenumber intervals of 0.4–0.1  $\text{cm}^{-1}$ . The measured cross-sections, which are relevant to the photochemistry of planetary atmospheres, possess significantly more spectroscopic structure, and are more accurate than previous measurements made at lower resolution. However, values at peak cross-sections could be effected by the instrumental widths even at 0.002 nm, which is larger than the Doppler widths.

## 5. INDICES OF REFRACTION

Aerosol particles also contribute to the infrared opacity in planetary atmospheres, especially for a several-year period following major volcanic eruptions. Within the last two decades, two major volcanic eruptions (El Chichon in 1982 and Mt. Pinatubo in 1991) injected substantial amounts of sulfur dioxide into the Earth's stratosphere. The 1996 HITRAN compilation therefore contains as auxiliary tables the indices of refraction of various atmospheric particles. Indices of refraction of water and ice (the composition of cloud particles), aqueous sulfuric acid (the composition of volcanic aerosol), nitric acid trihydrate and aqueous  $\text{HNO}_3/\text{H}_2\text{O}$  (two, of several, compositions of the Polar Stratospheric Clouds), and other indices (discussed below), are included in the compilation. Table 10 specifies the indices included in the 1996 compilation. The indices of refraction are in ASCII tables which have individual wavenumber ranges, increments, and data formats.

Aerosol opacity has a wavelength dependence, though generally the wavelength dependence has structure which varies gradually over several wavenumbers (while molecular spectra have finer structure). Aerosol opacity, however, complicates the interpretation of remote sensing observations of gaseous species. In the presence of substantial volcanic aerosol loading, occultation spectra will have nonuniform background envelopes which deviate from unity. Emission experiments will also display enhanced radiances, which can be misinterpreted as enhanced mixing ratios of gaseous species. A further complication is that aerosol particles both scatter and absorb radiation, and thus

Table 10. Indices of refraction on the HITRAN compilation.

Substance	Comments
Water (liquid)	0.65–1000 $\mu\text{m}$
Water (ice)	0.04–8 $\times 10^6$ $\mu\text{m}$
Liquid $\text{H}_2\text{SO}_4/\text{H}_2\text{O}$	Room temperature, 0.35–25 $\mu\text{m}$ ; 25, 38, 50, 75, 84.5, 95.6% $\text{H}_2\text{SO}_4$ by weight
Liquid $\text{H}_2\text{SO}_4/\text{H}_2\text{O}$	Room temperature, 6.4–13 $\mu\text{m}$ ; 75, 90% $\text{H}_2\text{SO}_4$ by weight
Liquid $\text{HNO}_3/\text{H}_2\text{O}$	Room temperature, 2–32 $\mu\text{m}$ ; 3, 6, 12, 22, 40, 70% $\text{HNO}_3$ by weight
$\beta$ NAT	1.4–20 $\mu\text{m}$ , nitric acid trihydrate solid film at 196 K
$\alpha$ NAT	1.4–20 $\mu\text{m}$ , nitric acid trihydrate solid film at 181 K
NAD	1.4–20 $\mu\text{m}$ , nitric acid dihydrate solid film at 184 K
NAM	1.4–20 $\mu\text{m}$ , nitric acid monohydrate solid film at 179 K
Water (ice)	Water ice film at 163 K
aNAT	Amorphous NAT solid solution film at 153 K
aNAD	Amorphous NAD solid solution film at 153 K
aNAM	Amorphous NAM solid solution film at 153 K
NaCl	Room temperature, 0.2 to 30 000 $\mu\text{m}$
Sea salt	Room temperature, 0.2 to 30 000 $\mu\text{m}$
Ammonia sulfate	Room temperature, 0.2 to 40 $\mu\text{m}$
Carbonaceous material	Room temperature, 0.2 to 40 $\mu\text{m}$
Volcanic dust	Room temperature, 0.2 to 40 $\mu\text{m}$
Meteoritic dust	Room temperature, 0.2 to 40 $\mu\text{m}$
Quartz	Room temperature, 0.2 to 300 $\mu\text{m}$
Iron oxide	Room temperature, 0.2 to 300 $\mu\text{m}$
Sand	Room temperature, 0.2 to 300 $\mu\text{m}$

equations which describe the radiation field, and the levels of sophistication which are needed to interpret observations in retrieval programs, become more complex.

In the analysis of spectra which contain aerosol features, the indices of refraction enter the analysis through radiative transfer calculations. For spherical particles, Mie theory can be used to calculate the scattering, absorption, and extinction coefficients (see Liou<sup>217</sup>). The extinction coefficient, for example, is calculated from the expression

$$k_{\text{ext}} = 1.0 \times 10^{-3} \int Q_{\text{ext}}(x, m(\lambda)) \pi r^2 dN/dr dr \quad (2)$$

where  $Q_{\text{ext}}$  = Mie efficiency factor for extinction (dimensionless),  $r$  = particle radius ( $\mu\text{m}$ ),  $dN/dr$  = particle size distribution (particles  $\text{cm}^{-3} \mu\text{m}^{-1}$ ). The factor  $1.0 \times 10^{-3}$  is used to convert  $k_{\text{ext}}$  to the  $\text{km}^{-1}$  unit.  $Q_{\text{ext}}$  is a function of the complex index of refraction  $m = m_{\text{real}} + im_{\text{imag}}$ , and  $x = 2\pi r/\lambda$  = particle size parameter (dimensionless), where  $\lambda$  is the wavelength ( $\mu\text{m}$ ). Both the real ( $m_{\text{real}}$ ) and the imaginary ( $m_{\text{imag}}$ ) parts of the complex index of refraction are tabulated in the HITRAN compilation. For non-spherical particles, T-matrix (Barber and Hill<sup>218</sup>) and discrete-dipole techniques (Draine and Flatau<sup>219</sup>) can be used to calculate scattering and absorption of light particles, given a particle shape and index of refraction.

Massie<sup>220</sup> reviewed the indices of refraction which form the basis of the 1996 compilation. In the 1996 compilation, indices of ice and water are primarily those of Warren.<sup>221</sup> Warren tabulated the real and imaginary indices of ice between  $4.4 \times 10^{-2}$  and  $164 \mu\text{m}$ , for a temperature of  $-7^\circ\text{C}$ , and for the wavelength range between  $167$  and  $8.6 \mu\text{m}$  at temperatures of  $-1$ ,  $-5$ ,  $-20$ , and  $-60^\circ\text{C}$ . Imaginary indices of Kou et al<sup>222</sup> between  $0.65$  and  $2.5 \mu\text{m}$ , and the water indices of Downing and Williams<sup>223</sup> at  $27^\circ\text{C}$ , are also included in the compilation.

Real and imaginary  $\text{H}_2\text{SO}_4/\text{H}_2\text{O}$  indices of refraction at 25, 38, 50, 75, 84.5 and 95.6%  $\text{H}_2\text{SO}_4$  (by weight), for the wavenumber range between  $400$  and  $27800 \text{cm}^{-1}$ , for 300 K, are from the compilation of Palmer and Williams.<sup>224</sup> The indices of Remsberg<sup>225</sup> (75%  $\text{H}_2\text{SO}_4$ , between  $747$  and  $1571 \text{cm}^{-1}$ ), are also included. The real indices agree to the 2% level for the two data sets, while the Remsberg imaginary indices are 15% larger than the Palmer and Williams indices between  $1000$  and  $1571 \text{cm}^{-1}$ , and 20–35% larger between  $747$  and  $1000 \text{cm}^{-1}$ . Ongoing laboratory work will soon resolve these differences, and also provide indices at the cold temperatures (near 220 K) of the stratosphere.

As sulfuric acid droplets are cooled in the polar stratospheric regions, they take up  $\text{HNO}_3$  and form ternary solution droplets. The indices of these droplets, comprised of a mixture of  $\text{H}_2\text{O}/\text{HNO}_3/\text{H}_2\text{SO}_4$  (see the model of Tabazadeh et al<sup>226</sup>), can be approximated using the  $\text{HNO}_3/\text{H}_2\text{O}$  indices measured by Query and Tyler.<sup>227</sup> At temperatures below 200 K, the  $\text{H}_2\text{SO}_4$  weight percentage of a ternary droplet becomes small. Aqueous  $\text{HNO}_3/\text{H}_2\text{O}$  indices, for 3, 6, 11, 22, 40, and 70%  $\text{HNO}_3$ , for the  $250$ – $5000 \text{cm}^{-1}$  range, are compiled.

Polar Stratospheric Cloud particles can also be solid. Indices of refraction for nitric acid trihydrate ( $\alpha$  and  $\beta$  NAT), nitric acid dihydrate (NAD), nitric acid monohydrate (NAM), and solid amorphous nitric acid solutions (amorphous NAT, NAD, and NAM) are included (Toon et al<sup>228</sup>). These indices were derived from measurements of transmission of infrared light through thin films of varying thickness at temperatures below 200 K, between  $500$  and  $7000 \text{cm}^{-1}$ .

Indices compiled by Shettle (see Chapter 18 of Jursa<sup>197</sup>), and used to generate the aerosol models in the LOWTRAN, MODTRAN, and FASCODE computer codes, are also included. These tables contain the indices for many diverse composition types (water, ice, sodium chloride, sea salt, ammonium sulfate, carbonaceous particles, volcanic dust, sulfuric acid, meteoric dust, quartz, iron oxide, and sand). The wavelength ranges are generally between  $0.2$  and  $40 \mu\text{m}$  (and for many compositions, to longer wavelengths).

Other recently published indices included those of amorphous and crystalline NAT at 130 and 175 K, measured by Berland et al<sup>229</sup> at  $632 \text{nm}$ . Richwine et al<sup>230</sup> measured NAT indices at 175 K, between  $700$  and  $4000 \text{cm}^{-1}$ , and obtained values similar to those of Toon et al.<sup>228</sup> While Adams and Downing<sup>231</sup> measured room temperature indices of the aqueous ternary system (at 75%  $\text{H}_2\text{SO}_4$ , 10%  $\text{HNO}_3$ , and 15%  $\text{H}_2\text{O}$ ) between  $500$  and  $5000 \text{cm}^{-1}$ , indices of the ternary system for a range of  $\text{HNO}_3$  and  $\text{H}_2\text{O}$  weights have not been measured in the laboratory.

New techniques to determine indices, directly from measurements of the infrared spectra of laboratory aerosols, are being developed by R. Miller's group at the University of North Carolina. Using this technique, Clapp et al<sup>232</sup> analyzed infrared spectra of laboratory-generated ice particles for temperatures between 130 and 210 K, between 800 and 4000  $\text{cm}^{-1}$ . Miller's group is placing their results on the web site <ftp://frenchie.chem.unc.edu/ri> as they become available.

Tropospheric aerosols have various compositions. Sutherland and Khanna<sup>233</sup> report the real and imaginary indices of organic nonvolatile aerosols produced by burning vegetation (mixed weeds) from 525 to 5000  $\text{cm}^{-1}$ . Transmission spectra for alfalfa, mixed weeds, and lawn are presented, and show similar spectral features.

New studies of tropospheric sulfates and nitrates are reported by Gosse et al<sup>234</sup> and Cziczko et al.<sup>235</sup> Gosse et al<sup>234</sup> tabulate real and imaginary indices of sulfate and nitrate aqueous solutions between 0.7 and 2.6  $\mu\text{m}$ , for temperatures in the  $-24$  to  $24^\circ\text{C}$  range. Cziczko et al<sup>235</sup> report upon deliquescence and the crystallization behavior of ammonium sulfate  $(\text{NH}_4)_2\text{SO}_4$ , ammonium nitrate  $(\text{NH}_4\text{HSO}_4)$ , and NaCl particles, as a function of relative humidity.

## 6. FUTURE PARAMETRIZATION FOR THE DATABASE

### 6.1. Line mixing

Line mixing is not currently addressed in HITRAN and schemes for including line mixing would require a significant change to the format of the HITRAN files. Several researchers have developed schemes for including line mixing. All of these schemes require multiple parameters in order to handle the temperature and pressure dependence of this effect, and require significant radiative transfer code alterations in order to properly use these parameters.

A recent scheme by Rodrigues et al<sup>236</sup> has been developed which includes line-mixing parameters (as auxiliary files to HITRAN96) as well as the software to properly use these parameters in radiative transfer codes. It currently only includes Q branches of  $\text{CO}_2$ , but will be expanded to include other molecules in the future. This scheme includes both a two-parameter, first-order calculation and a full relaxation operator approach to line mixing in a way such that the sum-rule is obeyed. The former approach can break down at high pressures for Q branches where the line spacing is extremely small, such as the 597  $\text{cm}^{-1}$  Q branch of  $\text{CO}_2$ . However, this particular Q branch is saturated in the atmosphere. As a result, the first order approach more than adequately accounts for atmospheric opacities. In fact, this scheme has been used to accurately model atmospheric spectra obtained by both solar occultation and thermal emission spectra in the stratosphere and troposphere<sup>236</sup> and has been incorporated into two separate radiative transfer codes with little editing of code.

The parameter files and software for this scheme are available via ftp. The information for obtaining these files is included in Rodrigues et al.<sup>236</sup>

### 6.2. Collision-induced absorption (CIA) parameters

It is well known that infrared collision-induced absorption (CIA) by  $\text{O}_2$  and  $\text{N}_2$  are significant in long-path atmospheric spectra. Although several line-by-line atmospheric transmittance and radiance codes have included these absorptions since the early eighties, they are not yet available in HITRAN. In atmospheric spectra at resolutions better than  $\sim 0.1 \text{ cm}^{-1}$ , the quadrupole lines of  $\text{O}_2$  and  $\text{N}_2$ , and the magnetic dipole lines of  $\text{O}_2$  are observable, superimposed on the background CIA continuum. These line transitions are modeled quite well, and are included in the HITRAN database.

Some of the more extensive atmospheric spectroscopy studies of the CIA, which also review previous work, are given by Rinsland et al,<sup>237</sup> Rinsland et al,<sup>238</sup> and Roney et al.<sup>239</sup> A number of laboratory measurements of these absorption parameters have been made over the years, the most recent of which are by Menoux et al<sup>240</sup> and by Lafferty et al<sup>241</sup> for the  $\text{N}_2$  fundamental near 4.3  $\mu\text{m}$ , by Orlando et al<sup>242</sup> and by Thibault et al<sup>243</sup> for the  $\text{O}_2$  fundamental near 6.4  $\mu\text{m}$ . These laboratory studies provide temperature-dependent coefficients for semi-empirical modeling of atmospheric spectra, and also include extensive review of previous studies. The results of these latest laboratory measurements are in good agreement with each other, while the older measurements are less accurate.

The formulation of Lafferty et al<sup>241</sup> and Thibault et al<sup>243</sup> is particularly suitable for modeling atmospheric spectra, including the relative efficiency of N<sub>2</sub>-O<sub>2</sub> collisions compared to N<sub>2</sub>-N<sub>2</sub>. Note, however, that the laboratory spectra used to derive the absorption parameters for O<sub>2</sub> show that the quadrupole line structure is included in the modeling. A similar situation can be assumed for N<sub>2</sub>.

Collision-induced absorption bands in the near-infrared and visible are important, and were re-investigated recently in modern, high-resolution atmospheric spectra by Gamache et al,<sup>51</sup> Mlawer et al,<sup>243</sup> and by Solomon et al<sup>245</sup> for O<sub>2</sub>, in conjunction with the laboratory study of Greenblatt et al.<sup>246</sup> These papers also provide references to recent discussions on the potential importance of these bands in modeling solar flux absorption.

It is planned to incorporate the available CIA coefficients for modeling O<sub>2</sub> and N<sub>2</sub> in future editions of the HITRAN database.

## 7. SOFTWARE AND ASSOCIATED FEATURES FOR HITRAN

### 7.1. Software and auxiliary utilities

The current database release contains a number of HITRAN auxiliary files. They are contained on the CD-ROM in the software subdirectory, and fall into four general categories: executable code to use with the database, FORTRAN and C source code, make files to aid the user in compiling the C code, and data files that contain useful ancillary information about the HITRAN molecules. The more important of these files are briefly discussed in this section.

The most functional of these files is the HAWKS.exe program found in the subdirectory Software/Windows. HAWKS (HITRAN Atmospheric Workstation) is a Microsoft Windows<sup>®</sup> program that allows the user to: (1) select data from the database; (2) display stick plots of line intensities or energy levels; (3) find journal references for line positions, halfwidths, and intensities; and (4) perform other operations on the database. The software is installed from the CD-ROM by running the setup.exe program. A complete description of the software and operating instructions is given in the HAWKS manual which is described below. The current version of the program, and other updates, can be downloaded from the HITRAN web site which is also discussed below.

A UNIX version of the HAWKS software, for SUN Solaris 2<sup>®</sup>, and SUN OS 4<sup>®</sup>, is found in the subdirectory Software/UNIX in a compressed tar format. This software is not as complete as the Windows version, but does allow a user to select and filter data from HITRAN and make plots of line intensities.

At the time HITRAN96 was released, some users either had not converted to Windows or did not have access to a SUN workstation. There is also a small, but significant, group of Macintosh users. For these users, we have provided C code of the major HAWKS routines. The user is, of course, required to compile these programs on their system. A major upgrade of the HAWKS software is planned for release within the next year. The software is being rewritten in the JAVA programming language and will be a complete cross platform application. That is, the same executable code will run on a PC, UNIX workstation, and Macintosh computers. This is a significant improvement because we will only need to maintain a single source and executable code compared to the four versions that are currently maintained. The software will be distributed via the HITRAN web site.

Until the new software is available, non-Windows and other users who cannot run the current HAWKS, have access to a limited version of the data filtering functions called SELECT96. This is a C program derived from the FORTRAN version of SELECT which has been distributed with the database since 1982. The main program is called SELECT96.C and is contained in the Software/Generic subdirectory on the CD-ROM. SELECT96 performs the basic database selection criteria and other similar tasks. Also included are several additional routines with the .C extension which are necessary to compile the program. Two files, MAKEFILE.DOS and MAKEFILE.UNI, are provided to assist the user in compiling the program for DOS and UNIX systems, respectively. Individuals having other operating system should use these files as a guide in compiling the program for their system.

The observant user will notice that several files with the .FOR extension are also provided on the CD-ROM. These routines are the FORTRAN ancestors of the correspondingly named C routines.

They are included for those users who find the FORTRAN programs more easy to read than the C versions, and are also required to compile TIPS.FOR. TIPS computes the total internal partition sum for the HITRAN constituents at temperatures between 70 and 3000 K and is described in greater detail below. Finally, the subroutine BD\_VIBS.FOR will be of particular interest to spectroscopists because it provides the relationship between the vibrational or “global” indices in the HITRAN database with the closest ASCII equivalent of quantum vibrational assignments.

There are three other files which may be of interest to HITRAN users: TABLE\_96.TXT, BSUMS\_96.TXT and MOLPARAM.TXT. The first two are included on the CD-ROM in the Software/Generic subdirectory; while the third, which was inadvertently left off the CD, can be obtained from the HITRAN web site. TABLE\_96.TXT is an ASCII file containing the HITRAN journal references for intensities, line positions, half-widths, and the band centers. This table is slightly more current than the one accessed in WINDOWS through hypertext. BSUMS\_96.TXT is a table of the band statistics in HITRAN96. This table also can be generated by using the BANDSTAT feature in the WINDOWS version of HAWKS. It contains, band-by-band for each molecule and isotope, the maximum and minimum values of the parameters as well as the sums of the intensities of the lines in a band and the spectral extent of the band.

MOLPARAM.TXT provides a table of the isotopic abundances of the isotopomers present in HITRAN, the total partition function at 296 K for each isotope, the spin-statistical weight, and the molecular weights. Some of this information, which is not contained in the main body of HITRAN parameters is necessary for some applications, such as computing the Einstein-*A* coefficient from the transition-probability squared parameter on the database.

## 7.2. Total internal partition sums

The total internal partition sums (TIPS) have been totally recalculated for this edition of the database. Substantial improvements have been made for values at temperatures greater than 750 K. In this edition, only a single analytical form is used to fit the data, regardless of the temperature range,

$$Q(T) = a + bT + cT^2 + dT^3. \quad (3)$$

The coefficients, *a*, *b*, *c*, and *d*, are obtained by fitting to the calculated partition functions using a Simplex nonlinear minimization algorithm.<sup>247</sup> Coefficients to Eq. (3) have been determined in 3 temperature ranges: a low-temperature range, 70 K ≤ *T* ≤ 500 K, a mid-temperature range, 500 K < *T* ≤ 1500 K, and a high-temperature range, 1500 K < *T* ≤ 3005 K. The data for the low temperature range are calculated at every 5 K and those for the mid- and high-temperature ranges are at every 20 K.

Calculations were made for each isotopomer on the database at the required temperatures. Depending on the species and the temperature range, the calculation of the partition sums were made using direct sums and analytical formulas. The fits to the calculated partition sums were made with a criterion of 1% maximum error over the temperature range. For those species for which the error in the partition function obtained from the polynomial representation exceeds the criterion, the *a* coefficient is set to −1 and the other coefficients are set to zero. These species are listed in Table 11. For several species for which the error was only slightly larger than the criterion, the coefficients were retained. These species are listed in Table 12. The coefficients have been incorporated into the FORTRAN program TIPS.FOR, which rapidly calculates the partition function.

The partition functions of the <sup>2</sup>Π molecules, NO, OH, and ClO, on this database are incorrect by an integral factor. These partition functions are also used to calculate the transition-moment squared parameter on the database. This error was due to a misinterpretation of the nuclear spin statistical weight factor when including the hyperfine levels in the formulation presented by Gamache et al.<sup>127</sup> Consequently, some of the transition-moment squared parameters listed for these molecules are incorrect.

The total internal partition sum for a molecule can be written

$$Q(T) = \sum_i \exp(-c_2 E_i/T) = \sum_m g_m \exp(-c_2 E_m/T), \quad (4)$$

where *i* sums over all states of the molecule and *m* sums only over the degenerate states with *g<sub>m</sub>* being the degeneracy of state *m*, and *c<sub>2</sub>* is the second radiation constant (*hc/k*). The degeneracy factors

Table 11. Molecules for which partition sum coefficients are set to default values

Molecule	Isotopomer (AFGL code)	Temperature range†
CH <sub>4</sub>	211	2 and 3
	311	2 and 3
	212	2 and 3
HNO <sub>3</sub>	146	1,2, and 3
CH <sub>3</sub> Cl	215	2 and 3
	217	2 and 3
C <sub>2</sub> H <sub>6</sub>	1221	1,2, and 3
COF <sub>2</sub>	269	2 and 3
SF <sub>6</sub>	29	1,2, and 3
HCOOH	126	2 and 3
ClONO <sub>2</sub>	5646	1,2, and 3
	7646	1,2, and 3

† The three ranges correspond to:  $70 \leq T \leq 500$ ,  $500 < T \leq 1500$ , and  $1500 < T \leq 3005$  K

Table 12. Molecules for which partition sum coefficients are reported and error &gt; 1%

Molecule	Isotopomer (AFGL code)	Fit error %		
		Temperature range (K)		
		$70 \leq T \leq 500$	$500 < T \leq 1500$	$1500 < T \leq 3005$
H <sub>2</sub> CO	126		2.2	2.2
	136		2.2	2.2
	128		2.2	2.2
CH <sub>3</sub> Cl	215	1.3		
	217	1.3		
PH <sub>3</sub>	1111		2.7	2.5
COF <sub>2</sub>	269	1.7		
HCOOH	126	1.7		

depend explicitly on the molecule in question and on the detail in which the energy levels are calculated. In general, all rotational levels of a molecule exist and the inclusion of nuclear spin yields different statistical weights. Identical nuclei that are related by symmetry operations give rise to statistical factors that depend on the rotational state. Such factors are state dependent. For nuclei that are not related by symmetry operations, the degeneracy factors are not dependent on the rotational state of the molecule and are called state-independent factors. These factors appear, in various texts,<sup>248,249</sup> as additional factors multiplying  $Q$ , but strictly, the texts assume that the  $Q$  calculations are done for the rotational states only. An example of state-dependent factors are the 3:1 ratio for odd and even states of H<sub>2</sub>O or the  $(2J'' + 1)$  factors for the rotational state  $J''$ . The effects of the nuclear spin states can be accounted for<sup>248</sup> by the additional factor  $\prod(2I_j + 1)$ , where the product is over nuclei  $j$  that do not couple with the rotational wavefunctions. For molecules with hyperfine levels  $F$ ,  $M_F$ , where  $F = I + J$ , the summation over all states includes the nuclear spin factors and the factor  $\prod(2I_j + 1)$  should not be included into the partition sum. To correct the HITRAN partition function values, they should be divided by the factor  $\prod(2I + 1)$ , that is 3, 2, 3 for <sup>14</sup>N<sup>16</sup>O, <sup>15</sup>N<sup>16</sup>O, and <sup>14</sup>N<sup>18</sup>O, 2, 2, 3 for <sup>16</sup>OH, <sup>18</sup>OH, and <sup>16</sup>OD, and 4, 4 for <sup>35</sup>Cl<sup>16</sup>O and <sup>37</sup>Cl<sup>16</sup>O, respectively.

In most applications, where the line intensity  $S(T)$  is calculated from  $S(T_{ref})$  by the ratio of partition functions, the state-independent statistical weights cancel out, so that these factors do not lead to errors. However, these are important in relations that require the exact statistical weights,



such as the weighted transition probabilities

$$\mathfrak{R}_{\eta\xi} = (1/d_{\eta}) \sum_{\xi\xi'} |R_{(\eta\xi)(\eta'\xi')}|^2. \quad (5)$$

In particular, the conversion from  $S$  to the weighted transition probabilities requires the partition sum calculation to be compatible with the statistical weight used in the conversion. On the 1996 HITRAN database, there may be problems with some of the transition-moment squared parameters. This error is due to the algorithm used to compute this quantity, for which the degeneracy factors from the partition sum calculations were used, regardless of the particular ro-vibrational transition under consideration. This can lead to several problems because for many transitions on the database the complete quantum numbers are not specified. Care must be taken for transitions for which hyperfine, lambda doubling, or the combination of hyperfine and lambda doubling are given relative to transitions for which such quantum numbers are not reported.

As an example consider  $^{14}\text{N}^{16}\text{O}$  (see Goldman et al<sup>70</sup> and Gamache and Rothman<sup>250</sup> for more details). For the transitions for which the hyperfine with individual lambda quantum numbers are given (full quantum information), the weighted transition-moment squared given on HITRAN must be multiplied by  $(2F + 1)/(2J + 1)$ . Note, this factor is 1 for lines for which  $F = J$ . Next, transitions for which the hyperfine structure is summed over and individual lambda quantum numbers are given, the weighted transition-moment squared given on HITRAN is too large by  $\Pi(2I + 1) = 3$ . Last, transitions for which the hyperfine structure and the two lambda components are summed over, the weighted transition-moment squared given on HITRAN must be multiplied by  $2(2I + 1) = 6$ .

Similar problems are encountered for other species on the database that have hyperfine, lambda doubling structure or the combination of hyperfine and lambda doubling structure. These discrepancies will be considered when creating the next version of the database.

### 7.3. Documentation

A comprehensive users manual is provided with the HAWKS software. It is designed to assist the user in easily adapting to the manipulation of the HITRAN96 molecular spectroscopic database and associated molecular databases by proper utilization of the HAWKS software package. It includes installation instructions for the Windows and UNIX software, and a detailed description of the capabilities of the HAWKS software.

Two versions of the manual are provided on the CD-ROM in the DOCUMENT directory in a PKZIP executable file format. The first, called HAWKS\_WP.EXE, contains the manual in the Corel WordPerfect<sup>®</sup> format. The second, called HAWKS\_PS.EXE, contains the manual in an Adobe Postscript<sup>®</sup> format. A user can expand these files by copying them into the desired directory on his hard disk and typing the file name. A document file and several linked image files will be generated for the former while a large Postscript file will be produced for the latter. A third version, and perhaps the easiest to use, is available on the HITRAN web page in the Adobe Acrobat<sup>®</sup> (PDF) format. The document, along with a reader, can be easily downloaded. Those users who wish to make a printed copy of the manual are encouraged to use this version.

### 7.4. HITRAN Web Site: [www.HITRAN.com](http://www.HITRAN.com)

Numerous references have been made in the preceding material to the HITRAN World Wide Web site ([www.HITRAN.com](http://www.HITRAN.com)). This is a new service and will be greatly expanded in the future. Historically, new databases have been released at 4–5 year intervals. The primary purpose of the site is to significantly decrease this time. We will continue periodic archival database releases on a CD-ROM; however new validated data will be released via the web site as they become available.

The following files and programs are currently available on the web site. The file 19\_HIT97.par contains all the OCS data for the next HITRAN update. There are many new ro-vibrational bands (fundamentals, hot bands, combination bands). To these parameters have been added the earlier HITRAN pure rotation bands. In addition, there are now five isotopomers. Note, that we have corrected the order of the last two isotopes ( $^{16}\text{O}^{12}\text{C}^{33}\text{S}$  – 623 and  $^{18}\text{O}^{12}\text{C}^{32}\text{S}$  – 822) to correspond to their decreasing order in terrestrial abundance (the 4th isotope of earlier HITRANs thus becomes number 5 on all subsequent issues). The transition-probability squared parameter is

currently not consistent with the HITRAN definition for the ro-vibrational bands, and will be corrected on a final version. This file is meant to totally replace all OCS data on previous HITRANs.

The file 38-HIT97.par represents the ethylene molecule ( $C_2H_4$ ). This species is new to HITRAN. The spectral range covered is  $701\text{--}3242\text{ cm}^{-1}$ , and the file contains 12978 lines.

The file, MOLPARAM.TXT, is a June 1997 update. It contains information such as the statistical factors and partition sum at 296 K which a small number of users require.

zApp is an optional resource file for the motif version of Hawks.

HAWKS.exe version 1.1 is now available. HAWKS.exe is the latest MS-Windows version of the HAWKS software.

The web site also provides a number of other services. These services include a form to request the HITRAN CD-ROM, the HAWKS software manual in Adobe Acrobat® format, a listing of technical meetings related to HITRAN, and links to other spectroscopic databases. The reader is encouraged to regularly check the site for software upgrades, new data, and added features.

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## APPENDIX A—HITRAN PARAMETERS: DEFINITIONS AND USAGE

### A.1. Definitions and units

The purpose of this appendix is to describe the definition, units, and basic usage of the HITRAN spectral line parameters. Some of the parameters are shown schematically in Fig. 1. In the following discussion  $h$  [ergs s],  $c$  [cm/s], and  $k$  [ergs/K] are the Planck constant, speed of light, and Boltzmann constant, respectively.

Mol	The molecular species identification (ID) number. HITRAN96 uses Mol = 1 (for H <sub>2</sub> O) through 37 (for HOBr). The gas IDs and corresponding molecules are listed in Table 2.
Iso	The isotope ID number. Iso = 1 for the most abundant isotope of gas Mol, Iso = 2 for the next most abundant, etc. An isotope description shorthand is often used such that, for example, <sup>16</sup> O <sup>13</sup> C <sup>16</sup> O is referred to as CO <sub>2</sub> 636 (Mol = 2, Iso = 2). A list of the gases and isotopes is given in the file MOLPARAM.TXT (see Section 7.1)
$\nu_{\eta\eta'}$	The spectral line transition frequency [cm <sup>-1</sup> ]. The transition between lower and upper states $\eta$ and $\eta'$ is accompanied by the emission or absorption of a photon of energy $E = \nu_{\eta\eta'}$ [cm <sup>-1</sup> ]. (Other designations use $\nu$ and $\nu'$ for lower and upper states, respectively.)
$S_{\eta\eta'}$	The spectral line intensity [cm <sup>-1</sup> /(molecule cm <sup>-2</sup> )] at $T_{\text{ref}} = 296$ K. The intensity is defined here for a single molecule. Radiative transfer theory <sup>251</sup> for the two states of a vibrational-rotational system defines the spectral line intensity as

$$S_{\eta\eta'} = \frac{h\nu_{\eta\eta'}}{c} \frac{n_{\eta}}{N} \left( 1 - \frac{g_{\eta}}{g_{\eta'}} \frac{n_{\eta'}}{n_{\eta}} \right) B_{\eta\eta'}, \quad (\text{A1})$$

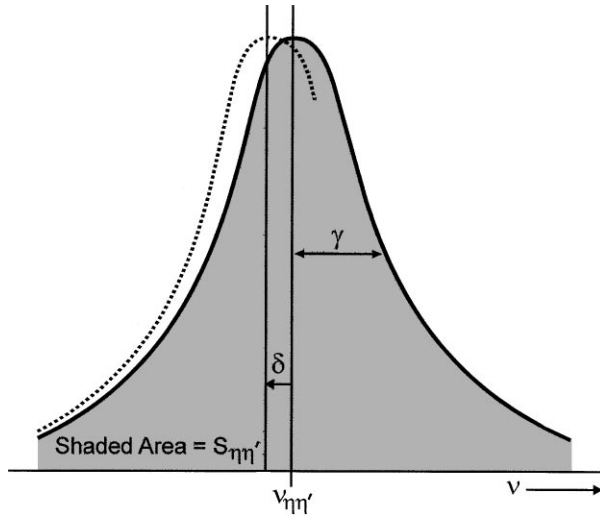


Fig. 1. Schematic of fundamental spectroscopic parameters of a line transition in HITRAN. The dotted line refers to a perturbed transition (with a negative  $\delta$ ).

where  $B_{\eta\eta'}$  [ $\text{cm}^3/(\text{ergs s}^2)$ ] is the Einstein coefficient for induced absorption,  $n_\eta$  and  $n_{\eta'}$  are the populations of the lower and upper states, respectively,  $g_\eta$  and  $g_{\eta'}$  are the state statistical weights, and  $N$  is the molecular number density. The weight includes electronic, vibrational, rotational, and nuclear statistics, and caution must be exercised when calculating weights for degenerate states (see Section 7.2). The quantity in parentheses represents the effect of stimulated emission. The Einstein coefficient  $B_{\eta\eta'}$  is related to the weighted transition-moment squared  $\mathfrak{R}$  [ $\text{Debye}^2 = 10^{-36} \text{ ergs cm}^3$ ], discussed below, by

$$\mathfrak{R}_{\eta\eta'} = \frac{3h^2}{8\pi^3} B_{\eta\eta'} \times 10^{36}. \quad (\text{A2})$$

Assuming local thermodynamic equilibrium (LTE), the population partition between states is governed by Boltzmann statistics at the ambient temperature  $T$  [K]. This allows us to write

$$\frac{g_\eta n_{\eta'}}{g_{\eta'} n_\eta} = \exp(-c_2 \nu_{\eta\eta'} / T) \quad \text{and} \quad \frac{n_\eta}{N} = \frac{g_\eta \exp(-c_2 E_\eta / T)}{Q(T)}, \quad (\text{A3})$$

where  $E_\eta$  is the lower state energy [ $\text{cm}^{-1}$ ], and  $c_2$  the second radiation constant  $= hc/k = 1.4388 \text{ cm K}$ . The total internal partition sum  $Q(T)$  [see Eq. (4) in Section 7.2] is given by

$$Q(T) = \sum_n g_n \exp(-c_2 E_n / T). \quad (\text{A4})$$

Substituting Eq. (A2) and Eq. (A3) in Eq. (A1) at  $T_{\text{ref}}$ , and introducing  $I_a$ , the natural terrestrial isotopic abundance, gives

$$S_{\eta\eta'}(T_{\text{ref}}) = \frac{8\pi^3}{3hc} \nu_{\eta\eta'} \frac{I_a g_\eta \exp(-c_2 E_\eta / T_{\text{ref}})}{Q(T_{\text{ref}})} [1 - \exp(-c_2 \nu_{\eta\eta'} / T_{\text{ref}})] \mathfrak{R}_{\eta\eta'} \times 10^{-36}. \quad (\text{A5})$$

This is the definition that appears in HITRAN. It should be understood that  $S_{\eta\eta'}$  is weighted according to the natural terrestrial isotopic abundances given in the file MOLPARAM.TXT. For applications that do not assume this isotope mixture, studies of other planetary atmospheres for example, this weighting should be renormalized. The weighted transition-moment squared [ $\text{Debye}^2 = 10^{-36} \text{ ergs cm}^3$ ]. This quantity is defined by

$\mathfrak{R}_{\eta\eta'}$

$$\mathfrak{R}_{\eta\eta'} = \frac{1}{g_\eta} \sum_{\zeta, \zeta'} |R_{(\eta\zeta)(\eta'\zeta')}|^2, \quad (\text{A6})$$

where  $R_{(\eta\zeta)(\eta'\zeta')}$  is the transition moment [ $\text{Debye}$ ]. The Einstein coefficients for induced absorption  $B_{\eta\eta'}$ , induced emission  $B_{\eta'\eta}$  [ $\text{cm}^3/(\text{ergs s}^2)$ ], and spontaneous emission  $A_{\eta\eta}$  [ $\text{s}^{-1}$ ] are related to this quantity by

$$B_{\eta\eta'} = \frac{8\pi^3}{3h^2} \mathfrak{R}_{\eta\eta'} \times 10^{-36}, \quad (\text{A7})$$

$$B_{\eta'\eta} = \frac{8\pi^3}{3h^2} \frac{g_\eta}{g_{\eta'}} \mathfrak{R}_{\eta\eta'} \times 10^{-36}, \quad (\text{A8})$$

$$A_{\eta\eta} = \frac{64\pi^4}{3h} \nu_{\eta\eta}^3 \frac{g_\eta}{g_{\eta'}} \mathfrak{R}_{\eta\eta'} \times 10^{-36}. \quad (\text{A9})$$

$\mathfrak{R}_{\eta\eta'}$  is independent of both temperature and isotopic abundance. A detailed discussion of  $S_{\eta\eta'}$  and  $\mathfrak{R}_{\eta\eta'}$  is given by Gamache and Rothman.<sup>250</sup>

$\gamma_{\text{air}}$  The air-broadened halfwidth at half maximum (HWHM) [ $\text{cm}^{-1}/\text{atm}$ ] at  $T_{\text{ref}} = 296$  K and reference pressure  $p_{\text{ref}} = 1$  atm. This parameter is transition dependent.

$\gamma_{\text{self}}$  The self-broadened halfwidth (HWHM) [ $\text{cm}^{-1}/\text{atm}$ ] at  $T_{\text{ref}} = 296$  K and  $p_{\text{ref}} = 1$  atm. This parameter is transition dependent.

$E_{\eta}$  The lower state energy of the transition [ $\text{cm}^{-1}$ ]. This quantity has been scaled such that the minimum possible level is set to zero. However, the HITRAN database uses a “flag” value of minus one ( $-1$ ) for some unidentified transitions whose lower state energy is unknown, but where confidence in the intensity at 296 K is achieved.

$n$  The coefficient of temperature dependence of the air-broadened halfwidth as appearing in the relation

$$\gamma_{\text{air}}(p_{\text{ref}}, T) = \gamma_{\text{air}}(p_{\text{ref}}, T_{\text{ref}}) (T_{\text{ref}}/T)^n; \quad (\text{A10})$$

it is assumed to be transition dependent.

$\delta$  The air-broadened pressure shift [ $\text{cm}^{-1}/\text{atm}$ ] at  $T_{\text{ref}} = 296$  K,  $p_{\text{ref}} = 1$  atm, of the line transition frequency  $\nu_{\eta\eta'}$ . This parameter is transition dependent.

$iv_{\eta}, iv_{\eta'}$  Upper state global quanta index, lower state global quanta index. The state quantum numbers  $v$  corresponding to each index are given by the CD-ROM program BD\_VIBS.FOR (see Sec. 7.1). These states are usually expressed by the quantum numbers  $v$  of each of the fundamental modes of vibration  $v_1, v_2, v_3$ , etc. A notable exception is  $\text{CO}_2$ , which uses the 5 integer notation  $(v_1, v_2, l, v_3, r)$  described in Rothman and Young<sup>252</sup> where  $l$  is the angular momentum associated with the  $v_2$  bending mode, and  $r$  is a ranking index for members of a Fermi resonance.

$q_{\eta}, q_{\eta'}$  Upper state local quanta, lower state local quanta. These quantum identifications are defined in Table 4.

## A.2. Applications

A.2.1. *Temperature correction of line intensity.* With reference to Eq. (A5), the following expression is used to calculate the line intensity  $S_{\eta\eta'}(T)$  from the HITRAN quantity  $S_{\eta\eta'}(T_{\text{ref}})$ ,

$$S_{\eta\eta'}(T) = S_{\eta\eta'}(T_{\text{ref}}) \frac{Q(T_{\text{ref}})}{Q(T)} \frac{\exp(-c_2 E_{\eta}/T)}{\exp(-c_2 E_{\eta}/T_{\text{ref}})} \frac{[1 - \exp(-c_2 \nu_{\eta\eta'}/T)]}{[1 - \exp(-c_2 \nu_{\eta\eta'}/T_{\text{ref}})]}. \quad (\text{A11})$$

The ratio of total internal partition functions is calculated using the parameterization of Gamache et al.<sup>127</sup> This approach is an improvement over the usual classical approximation for the independent temperature variation of the rotational and vibrational components of the partition function. The third term on the right in Eq. (A11) accounts for the ratio of Boltzmann populations, and the fourth term for the effect of stimulated emission.

A.2.2. *Temperature and pressure correction of line halfwidth.* The pressure broadened line halfwidth  $\gamma(p, T)$  for a gas at pressure  $p$  [atm], temperature  $T$  [K], and partial pressure  $p_s$  [atm], is calculated as

$$\gamma(p, T) = \left(\frac{T_{\text{ref}}}{T}\right)^n (\gamma_{\text{air}}(p_{\text{ref}}, T_{\text{ref}}) (p - p_s) + \gamma_{\text{self}}(p_{\text{ref}}, T_{\text{ref}}) p_s). \quad (\text{A12})$$

In the absence of other data, the coefficient of temperature dependence of the self-broadened halfwidth has been assumed to be equal to that of the air-broadened halfwidth. Alternatively, the classical value<sup>253</sup> of 0.5 could be used by default.

A.2.3. *Pressure-shift correction of line position.* The pressure shift of the transition frequency leads to a shifted frequency  $\nu_{\eta\eta'}^*$  given by

$$\nu_{\eta\eta'}^* = \nu_{\eta\eta'} + \delta(p_{\text{ref}}) p. \quad (\text{A13})$$

A.2.4. *Absorption coefficient.* In the atmosphere, a spectral line is broadened about the transition wave number  $\nu_{\eta\eta'}$ , the spread being represented by the normalized line shape function  $f(\nu, \nu_{\eta\eta'}, T, p)$  [ $1/\text{cm}^{-1}$ ].<sup>253</sup> In the lower atmosphere, pressure broadening of spectral lines dominates and if a Lorentz profile is assumed,

$$f(\nu, \nu_{\eta\eta'}, T, p) = \frac{1}{\pi} \frac{\gamma(p, T)}{\gamma(p, T)^2 + [\nu - (\nu_{\eta\eta'} + \delta(p_{\text{ref}}) p)]^2}. \quad (\text{A14})$$

The monochromatic absorption coefficient  $k_{\eta\eta'}(\nu, T, p)$  [ $1/(\text{molecule cm}^{-2})$ ] at wave number  $\nu$  [ $\text{cm}^{-1}$ ] due to this transition is then given by

$$k_{\eta\eta'}(\nu, T, p) = S_{\eta\eta'}(T) f(\nu, \nu_{\eta\eta'}, T, p). \quad (\text{A15})$$

The dimensionless optical depth  $\tau_{\eta\eta'}(\nu, T, p)$  is formed by multiplying the absorption coefficient by the number density of absorbing molecules per unit path length  $u$  [ $\text{molecules cm}^{-2}$ ], such that

$$\tau_{\eta\eta'}(\nu, T, p) = u k_{\eta\eta'}(\nu, T, p). \quad (\text{A16})$$

A.2.5. *Non-LTE applications.* Under conditions of non-LTE, the partition of population between states is not dictated by Boltzmann statistics and Eq. (A3) is not valid. The line intensity under these conditions may be obtained directly from the weighted transition-moment squared  $\mathfrak{R}_{\eta\eta'}$  as described in Ref. 250, or by correcting the HITRAN intensity  $S_{\eta\eta'}(T_{\text{ref}})$  as described in Ref. 254.